

# Topology

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**Part I**

**General Topology**



# Chapter 1

## Topological Spaces

### 1.1 Topological Spaces

**Definition 1.1.** One calls **topological space** a set  $X$  equipped with a family  $\mathcal{U}$  of subsets of  $X$ , called the **open** sets of  $X$ , satisfying the following conditions:

- (i) the subsets  $\emptyset$  and  $X$  of  $X$  are open,
- (ii) every union of open subsets of  $X$  is open,
- (iii) every finite intersection of open subsets of  $X$  is open.

One says that  $\mathcal{U}$  defines a **topology** on  $X$ .

*Example.* Consider a set  $X$ . The collection of all subsets of  $X$  is a topology on  $X$ , and is called the **discrete topology** on  $X$ . The collection consisting of  $X$  and  $\emptyset$  is also a topology, and is called the **trivial topology** on  $X$ .

*Example.* Consider a set  $X$ . Let  $\mathcal{U}_f$  be the collection of all subsets  $A$  of  $X$  such that  $X \setminus A$  is either finite or is  $X$ . Then,  $\mathcal{U}_f$  is a topology called the **finite complement topology** on  $X$ . Both  $X$  and  $\emptyset$  are in  $\mathcal{U}_f$ , since  $X \setminus X = \emptyset$  is finite and  $X \setminus \emptyset = X$ . If  $\{A_i\}_{i \in I}$  is a family of nonempty elements of  $\mathcal{U}_f$ , since  $X \setminus \bigcup_{i \in I} A_i = \bigcap_{i \in I} (X \setminus A_i)$  is finite, then  $\bigcup_{i \in I} A_i \in \mathcal{U}_f$ . In case  $I$  is finite,  $X \setminus \bigcap_{i \in I} A_i = \bigcup_{i \in I} (X \setminus A_i)$  is consequently finite, then  $\bigcap_{i \in I} A_i \in \mathcal{U}_f$ .

**Definition 1.2.** Let  $X$  be a topological space, and  $A \subseteq X$ . One says that  $A$  is **closed** if  $X \setminus A$  is open.

**Proposition 1.3.** Let  $X$  be a topological space:

- (i) the subsets  $\emptyset$  and  $X$  of  $X$  are closed,
- (ii) every intersection of closed subsets of  $X$  is closed,
- (iii) every finite union of closed subsets of  $X$  is closed.

*Proof.* The subsets  $\emptyset$  and  $X$  are evidently closed by passage to complements. Let  $\mathcal{C}$  a family of closed subsets of  $X$ . Since  $X \setminus \bigcap_{B \in \mathcal{C}} B = \bigcup_{B \in \mathcal{C}} (X \setminus B)$  and  $X \setminus B$  is open, then  $X \setminus \bigcap_{B \in \mathcal{C}} B$  is open and  $\bigcap_{B \in \mathcal{C}} B$

is consequently closed. If the family  $\mathcal{C}$  is finite, since  $X \setminus \bigcup_{B \in \mathcal{C}} B = \bigcap_{B \in \mathcal{C}} (X \setminus B)$  and  $\bigcap_{B \in \mathcal{C}} (X \setminus B)$  is open, then  $\bigcup_{B \in \mathcal{C}} B$  is closed.  $\square$

**Definition 1.4.** If  $X$  is a set, a **basis** for a topology on  $X$  is a collection  $\mathcal{B}$  of subsets of  $X$  such that

- (i) for each  $x \in X$ , there exists an element  $B \in \mathcal{B}$  containing  $x$ ,
- (ii) if  $x$  belongs to the intersection of two elements  $B_1, B_2 \in \mathcal{B}$ , then there exists  $B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq B_1 \cap B_2$ .

If  $\mathcal{B}$  satisfies both conditions, then one defines the **topology generated** by  $\mathcal{B}$  as follows: A subset  $U$  of  $X$  is said to be open in  $X$  if, for each  $x \in U$ , there exists  $B \in \mathcal{B}$  such that  $x \in B$  and  $B \subseteq U$ .

**Proposition 1.5.** Let  $X$  be a set, and  $\mathcal{B}$  a basis for a topology  $\mathcal{U}$  on  $X$ . Then,  $\mathcal{U}$  equals the collection formed by all unions of elements in  $\mathcal{B}$ .

*Proof.* As  $\mathcal{U}$  is a topology, any union of elements in  $\mathcal{B}$  clearly belongs to  $\mathcal{U}$ . Conversely, given  $U \in \mathcal{U}$ , for each  $x \in U$ , there exists  $B_x \in \mathcal{B}$  such that  $x \in B_x$  and  $B_x \subseteq U$  as  $\mathcal{B}$  is a basis. So  $\bigcup_{x \in U} B_x \subseteq U$ , and we also have  $U \subseteq \bigcup_{x \in U} B_x$  since  $\bigcup_{x \in U} B_x$  contains every element of  $U$ .  $\square$

**Proposition 1.6.** Let  $X$  be a set equipped with a topology  $\mathcal{U}$ . Suppose that  $\mathcal{C}$  is a collection of open sets such that, for each  $U \in \mathcal{U}$  and each  $x \in U$ , there exists  $C \in \mathcal{C}$  such that  $x \in C$  and  $C \subseteq U$ . Then,  $\mathcal{C}$  is a basis for  $\mathcal{U}$ .

*Proof.* We first prove that  $\mathcal{C}$  is a basis. For the first condition, given  $x \in X$ , since  $X \in \mathcal{U}$ , then there exists  $C \in \mathcal{C}$  such that  $x \in C$  and  $C \subseteq \mathcal{C}$ . For the second condition, let  $x \in C_1 \cap C_2$  where  $C_1, C_2 \in \mathcal{C}$ . Since  $C_1$  and  $C_2$  are open, so is  $C_1 \cap C_2$ , then there exists  $C \in \mathcal{C}$  such that  $x \in C$  and  $C \subseteq C_1 \cap C_2$ .

We now prove that the topology  $\mathcal{T}$  generated by  $\mathcal{C}$  is  $\mathcal{U}$ . If  $U \in \mathcal{U}$  and  $x \in U$ , there exists  $C \in \mathcal{C}$  such that  $x \in C$  and  $C \subseteq U$ , and consequently  $U \in \mathcal{T}$  by definition. Conversely, if  $T \in \mathcal{T}$ , then  $T$  equals a union of elements in  $\mathcal{C}$  from Proposition 1.5. As  $\mathcal{C} \subseteq \mathcal{U}$  and  $\mathcal{U}$  is a topology, then  $T \in \mathcal{U}$ .  $\square$

## 1.2 Neighborhoods

**Definition 1.7.** Let  $X$  be a topological space, and  $x \in X$ . A subset  $V$  of  $X$  is called a **neighborhood** of  $x$  in  $X$  if there exists an open subset  $A$  of  $X$  such that  $x \in A$  and  $A \subseteq V$ .

**Proposition 1.8.** Let  $X$  be a topological space, and  $x \in X$ .

- (i) If  $V$  and  $V'$  are neighborhoods of  $x$ , then  $V \cap V'$  is a neighborhood of  $x$ .
- (ii) If  $V$  is a neighborhood of  $x$ , and  $W$  a subset such that  $V \subseteq W$ , then  $W$  is a neighborhood of  $x$ .

*Proof.* There exists open subsets  $U, U'$  containing  $x$  such that  $U \subseteq V$  and  $U' \subseteq V'$ . So,  $U \cap U'$  is an open subset of  $X$  containing  $x$  with the property  $U \cap U' \subseteq V \cap V'$ . If  $V \subseteq W$ , then  $U \subseteq W$ , and  $W$  is obviously a neighborhood of  $x$ .  $\square$

**Proposition 1.9.** Let  $X$  be a topological space, and  $A \subseteq X$ . These conditions are equivalent:

- (i)  $A$  is open,



(ii)  $A$  is a neighborhood of each of its points.

*Proof.* (i)  $\Rightarrow$  (ii) : For a point  $x$  of  $A$ , we obviously have  $x \in A \subseteq A$ , so  $A$  is a neighborhood of  $x$ .

(ii)  $\Rightarrow$  (i) : For every  $x \in A$ , there exists an open subset  $A_x$  of  $X$  containing  $x$  such that  $A_x \subseteq A$ . Then, the union  $\bigcup_{x \in A} A_x$  is open, and is included in  $A$ . Since each point of  $A$  is contained in  $\bigcup_{x \in A} A_x$ , then  $A \subseteq \bigcup_{x \in A} A_x$ . Thus  $A = \bigcup_{x \in A} A_x$ , and  $A$  is consequently open.  $\square$

**Definition 1.10.** Let  $X$  be a topological space, and  $x \in X$ . One calls **fundamental system of neighborhoods** of  $x$  any family  $\{V_i\}_{i \in I}$  of neighborhoods of  $x$  such that every neighborhood of  $x$  contains one of the  $V_i$ .

*Example.* Let  $X$  be a topological space, and  $x \in X$ . The set of all open subsets of  $X$  containing  $x$  is a fundamental system of neighborhoods of  $x$ .

### 1.3 Interior

**Definition 1.11.** Let  $X$  be a topological space,  $A \subseteq X$ , and  $x \in X$ . The point  $x$  is **interior** to  $A$  if  $A$  is a neighborhood of  $x$  in  $X$ . The set of all points interior to  $A$  is called the interior of  $A$  and denoted  $A^\circ$ .

**Proposition 1.12.** Let  $X$  be a topological space, and  $A$  a subset of  $X$ . Then  $A^\circ$  is the largest open set of  $X$  contained in  $A$ .

*Proof.* Let  $U$  be an open subset of  $X$  contained in  $A$ . If  $x \in U$ , then  $U$  is neighborhood of  $x$ , therefore  $x \in A^\circ$ , and consequently  $U \subseteq A^\circ$ . So, every open subset contained in  $A$  is included in  $A^\circ$ .

Now, if  $x \in A^\circ$ , there exists an open subset  $B$  such that  $x \in B$  and  $B \subseteq A$ . Then  $B \subseteq A^\circ$  by the first part of the proof, thus  $A^\circ$  is a neighborhood of  $x$ . From Proposition 1.9, we deduce that  $A^\circ$  is open.  $\square$

**Proposition 1.13.** Let  $X$  be a topological space, and  $A \subseteq X$ . These conditions are equivalent:

(i)  $A$  is open,

(ii)  $A = A^\circ$ .

*Proof.* (i)  $\Rightarrow$  (ii) : If  $A$  is open, then  $A = A^\circ$  from Proposition 1.12.

(ii)  $\Rightarrow$  (i) : If  $A = A^\circ$ , then  $A$  is open since  $A^\circ$  is open.  $\square$

**Proposition 1.14.** Let  $X$  be a topological space, and  $A, B \subseteq X$ . Then  $(A \cap B)^\circ = A^\circ \cap B^\circ$ .

*Proof.* It is clear that  $(A \cap B)^\circ \subseteq A^\circ$  and  $(A \cap B)^\circ \subseteq B^\circ$ , hence  $(A \cap B)^\circ \subseteq A^\circ \cap B^\circ$ .

One has  $A^\circ \subseteq A$  and  $B^\circ \subseteq B$ , therefore  $A^\circ \cap B^\circ \subseteq A \cap B$ . Since  $A^\circ \cap B^\circ$  is open, then  $A^\circ \cap B^\circ \subseteq (A \cap B)^\circ$  from Proposition 1.12.  $\square$

**Definition 1.15.** Let  $X$  be a topological space, and  $A \subseteq X$ . The **boundary** of  $A$  is the closed set  $\partial A := X \setminus (A^\circ \sqcup (X \setminus A)^\circ)$ .

## 1.4 Closure

**Definition 1.16.** Let  $X$  be a topological space,  $A \subseteq X$ , and  $x \in X$ . One says that  $x$  is **adherent** to  $A$  if every neighborhood of  $x$  in  $X$  intersects  $A$ . The set of all points adherent to  $A$  is called the **closure** of  $A$  and denoted  $\bar{A}$ .

**Proposition 1.17.** Let  $X$  be a topological space, and  $A \subseteq X$ . Then  $\bar{A} = X \setminus (X \setminus A)^\circ$ .

*Proof.* Take a point  $x \in X$ . We have  $x \notin \bar{A}$  if and only if  $x$  has a neighborhood disjoint from  $A$  if and only if  $x \in (X \setminus A)^\circ$ .  $\square$

**Proposition 1.18.** Let  $X$  be a topological space, and  $A, B \subseteq X$ .

(i)  $\bar{A}$  is the smallest closed subset of  $X$  containing  $A$ .

(ii)  $A$  is closed if and only if  $A = \bar{A}$ .

(iii)  $\overline{A \cup B} = \bar{A} \cup \bar{B}$ .

*Proof.* (i) : The interior  $(X \setminus A)^\circ$  is the largest open set contained in  $X \setminus A$ . Therefore its complement  $\bar{A}$  is closed and contains  $A$ . If  $B$  is a closed subset of  $X$  containing  $A$ , then  $X \setminus B \subseteq (X \setminus A)^\circ = X \setminus \bar{A}$ , and  $\bar{A} \subseteq B$ .

(ii) : As  $\bar{A}$  is the smallest closed subset of  $X$  containing  $A$ , then  $A$  is closed if and only if  $A = \bar{A}$ .

(iii) : From Proposition 1.17, we have  $\overline{A \cup B} = X \setminus (X \setminus (A \cup B))^\circ = X \setminus ((X \setminus A) \cap (X \setminus B))^\circ$ . Using Proposition 1.14, then  $\overline{A \cup B} = X \setminus ((X \setminus A)^\circ \cap (X \setminus B)^\circ) = (X \setminus (X \setminus A)^\circ) \cup (X \setminus (X \setminus B)^\circ) = \bar{A} \cup \bar{B}$ .  $\square$

**Definition 1.19.** Let  $X$  be a topological space, and  $A \subseteq X$ . One says  $A$  is **dense** if  $\bar{A} = X$ .

**Proposition 1.20.** Let  $X$  be a topological space, and  $A \subseteq X$ . These conditions are equivalent:

(i)  $A$  is dense,

(ii)  $(X \setminus A)^\circ = \emptyset$ ,

(iii) every nonempty open subset of  $X$  intersects  $A$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Since  $X \setminus (X \setminus A)^\circ = \bar{A} = X$ , then  $(X \setminus A)^\circ = \emptyset$ .

(ii)  $\Rightarrow$  (iii) : Let  $U$  be an open subset that does not intersect  $A$ . Therefore  $U \subseteq (X \setminus A)^\circ = \emptyset$ .

(iii)  $\Rightarrow$  (i) : Since every neighborhood of every point of  $X$  intersects  $A$ , then  $\bar{A} = X$ .  $\square$

## 1.5 Separated Topological Spaces

**Definition 1.21.** A topological space  $X$  is said to be **separated** if any two distinct points of  $X$  admit disjoint neighborhoods.

**Proposition 1.22.** Let  $X$  be a separated topological space, and  $x \in X$ . Then  $\{x\}$  is closed.

*Proof.* Take a point  $y \in X \setminus \{x\}$ . There exist neighborhoods  $V$  and  $W$  of  $x$  and  $y$  respectively that are disjoint. In particular,  $W \subseteq X \setminus \{x\}$ , hence  $X \setminus \{x\}$  is neighborhood of  $y$ . Thus  $X \setminus \{x\}$  is a neighborhood of each of its points. We deduce from Proposition 1.9 that  $X \setminus \{x\}$  is open.  $\square$

## Chapter 2

# Limit and Continuity

### 2.1 Limits

**Definition 2.1.** A **filter** on a set  $X$  is a set  $\mathcal{F}$  formed by nonempty subsets of  $X$  satisfying the following conditions:

- (i) if  $A \in \mathcal{F}$  and  $B \in \mathcal{F}$ , then  $A \cap B \in \mathcal{F}$ ,
- (ii) if  $A \in \mathcal{F}$  and if  $A'$  is a subset of  $X$  containing  $A$ , then  $A' \in \mathcal{F}$ .

**Definition 2.2.** A **filter base** on a set  $X$  is a set  $\mathcal{B}$  of nonempty subsets of  $X$  such that, if  $A \in \mathcal{B}$  and  $B \in \mathcal{B}$ , there exists  $C \in \mathcal{B}$  such that  $C \subseteq A \cap B$ .

*Example.* Let  $X$  be a topological space, and  $x_0 \in X$ . The set  $\mathcal{V}$  formed by the neighborhoods of  $x_0$  is a filter on  $X$ . A fundamental system of neighborhoods of  $x_0$  is a filter base on  $X$ . Let  $Y \subseteq X$ , and assume  $x_0 \in \bar{Y}$ . The set  $\{Y \cap V \mid V \in \mathcal{V}\}$  is a filter on  $Y$ .

*Example.* For  $x \in \mathbb{R}$ , the set of intervals  $\{(x - \varepsilon, x + \varepsilon)\}_{\varepsilon \in \mathbb{R}_+^*}$  is a filter base on  $\mathbb{R}$ .

**Definition 2.3.** Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a topological space,  $f : X \rightarrow Y$  a function, and  $l$  a point of  $Y$ . One says that  $f$  tends to  $l$  along  $\mathcal{B}$  if, for every neighborhood  $V$  of  $l$  in  $Y$ , there exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V$ .

If  $X$  is a topological space, and  $\mathcal{B}$  the filter formed by the neighborhoods of a point  $x_0$  of  $X$ , one says that  $l$  is the **limit** of  $f$  along the neighborhood filter of  $x_0$ , and writes  $\lim_{x \rightarrow x_0} f(x) = l$ .

**Proposition 2.4.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  a function,  $x_0 \in X$ ,  $l \in Y$ ,  $\{V_i\}_{i \in I}$  a fundamental system of neighborhoods of  $x_0$  in  $X$ , and  $\{W_j\}_{j \in J}$  a fundamental system of neighborhoods of  $l$  in  $Y$ . The following conditions are equivalent:

- (i)  $\lim_{x \rightarrow x_0} f(x) = l$ ,
- (ii) for every  $j \in J$ , there exists  $i \in I$  such that  $f(V_i) \subseteq W_j$ .

*Proof.* (i)  $\Rightarrow$  (ii) : For every  $j \in J$ , there exists a neighborhood  $V$  of  $x_0$  such that  $f(V) \subseteq W_j$ . By definition, there exists  $i \in I$  such that  $V_i \subseteq V$ . Therefore  $f(V_i) \subseteq W_j$ .

(ii)  $\Rightarrow$  (i) : Let  $W$  be a neighborhood of  $l$ . There exists  $j \in J$  such that  $W_j \subseteq W$ . Then, there exists  $i \in I$  such that  $f(V_i) \subseteq W_j$ , and consequently  $f(V_i) \subseteq W$ .  $\square$

**Proposition 2.5.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a separated topological space, and  $f : X \rightarrow Y$  a function. If  $f$  admits a limit along  $\mathcal{B}$ , this limit is unique.*

*Proof.* Let  $l, l'$  be distinct limits of  $f$  along  $\mathcal{B}$ . Since  $Y$  is separated, there exist disjoint neighborhoods  $V$  and  $V'$  of  $l$  and  $l'$  respectively in  $Y$ . There exist  $B, B' \in \mathcal{B}$  such that  $f(B) \subseteq V$  and  $f(B') \subseteq V'$ . By definition, there exists  $B'' \in \mathcal{B}$  such that  $B'' \subseteq B \cap B'$ . Then  $f(B'') \subseteq f(B) \cap f(B') \subseteq V \cap V'$ . Since  $B''$  is nonempty, then  $f(B'') \neq \emptyset$ , and consequently  $V \cap V' \neq \emptyset$  which is absurd.  $\square$

**Proposition 2.6.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a topological space,  $f : X \rightarrow Y$  a function, and  $l \in Y$ . Let  $X' \in \mathcal{B}$ , and  $f'$  the restriction of  $f$  to  $X'$ . The sets  $B \cap X'$ , where  $B \in \mathcal{B}$ , form a filter base  $\mathcal{B}'$  on  $X'$ . The following conditions are equivalent:*

- (i)  $f$  tends to  $l$  along  $\mathcal{B}$ ,
- (ii)  $f'$  tends to  $l$  along  $\mathcal{B}'$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Let  $V$  be a neighborhood of  $l$ . There exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V$ . Hence  $f'(B \cap X') \subseteq V$ . As  $B \cap X' \in \mathcal{B}'$ , then  $f'$  tends to  $l$  along  $\mathcal{B}'$ .

(ii)  $\Rightarrow$  (i) : Let  $V$  be a neighborhood of  $l$ . There exists  $B' \in \mathcal{B}'$  such that  $f(B') \subseteq V$ . But  $B'$  has the form  $B \cap X'$  with  $B \in \mathcal{B}$ . Since  $X' \in \mathcal{B}$ , there exists  $B'' \in \mathcal{B}$  such that  $B'' \subseteq B \cap X'$ . Then,  $f(B'') \subseteq f(B') \subseteq V$ , and  $f$  consequently tends to  $l$  along  $\mathcal{B}$ .  $\square$

## 2.2 Adherence Values

**Definition 2.7.** Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a topological space,  $f : X \rightarrow Y$  a function, and  $l$  a point of  $Y$ . One says that  $l$  is an **adherence value** of  $f$  along  $\mathcal{B}$  if, for every neighborhood  $V$  of  $l$  and for every  $B \in \mathcal{B}$ ,  $f(B)$  intersects  $V$ .

*Example.* Consider the function  $f : \mathbb{R} \rightarrow \mathbb{R}$ ,  $x \mapsto \{x\}$ . Then, every real number in  $[0, 1)$  is an adherence value of  $f$  along the filter base  $\{(a, +\infty)\}_{a \in \mathbb{R}_+}$ .

**Proposition 2.8.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a separated topological space,  $f : X \rightarrow Y$  a function, and  $l$  a point of  $Y$ . If  $f$  tends to  $l$  along  $\mathcal{B}$ , then  $l$  is the unique adherence value of  $f$  along  $\mathcal{B}$ .*

*Proof.* Let  $V$  be a neighborhood of  $l$ , and  $B \in \mathcal{B}$ . There exists  $B' \in \mathcal{B}$  such that  $f(B') \subseteq V$ . Then  $B \cap B' \neq \emptyset$ , hence  $f(B \cap B') \neq \emptyset$ , and  $f(B \cap B') \subseteq f(B) \cap V$ . Therefore  $f(B)$  intersects  $V$ , meaning that  $l$  is an adherence value of  $f$  along  $\mathcal{B}$ .

Let  $l'$  be an adherence value of  $f$  along  $\mathcal{B}$ , assume  $l' \neq l$ . There exist neighborhoods  $V$  and  $V'$  of  $l$  and  $l'$  respectively that are disjoint. There exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V$ . Then  $f(B) \cap V'$  contradicting the fact that  $l'$  is an adherence value.  $\square$

**Proposition 2.9.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a topological space, and  $f : X \rightarrow Y$  a function. The set formed by the adherence values of  $f$  along  $\mathcal{B}$  is  $\bigcap_{B \in \mathcal{B}} \overline{f(B)}$ .*

*Proof.* Let  $l$  be an adherence value of  $f$  along  $\mathcal{B}$ , and  $B \in \mathcal{B}$ . Every neighborhood of  $l$  intersects  $f(B)$ . Then  $l \in \overline{f(B)}$ , and  $l \in \bigcap_{B \in \mathcal{B}} \overline{f(B)}$ .

Let  $l' \in \bigcap_{B \in \mathcal{B}} \overline{f(B)}$ ,  $V'$  be a neighborhood of  $l'$ , and take  $B \in \mathcal{B}$ . Since  $l' \in \overline{f(B)}$ , then  $f(B)$  intersects  $V'$ , and  $l'$  is an adherence value of  $f$ .  $\square$

## 2.3 Continuity

**Definition 2.10.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$  a function, and  $x_0 \in X$ . One says that  $f$  is **continuous** at  $x_0$  if  $\lim_{x \rightarrow x_0} f(x) = f(x_0)$ . In other words, for every neighborhood  $V$  of  $f(x_0)$ , there exists a neighborhood  $U$  of  $x_0$  such that  $f(U) \subseteq V$ .

**Proposition 2.11.** Let  $X, Y, Z$  be topological spaces,  $f : X \rightarrow Y$  and  $g : Y \rightarrow Z$  functions, and  $x_0 \in X$ . If  $f$  is continuous at  $x_0$ , and  $g$  at  $f(x_0)$ , then  $g \circ f$  is continuous at  $x_0$ .

*Proof.* Let  $W$  be a neighborhood of  $g(f(x_0))$  in  $Z$ . There exists a neighborhood  $V$  of  $f(x_0)$  in  $Y$  such that  $g(V) \subseteq W$ . Moreover, there exists a neighborhood  $U$  of  $x_0$  in  $X$  such that  $f(U) \subseteq V$ . Then,  $U$  is neighborhood of  $x_0$  such that  $g \circ f(U) \subseteq g(V) \subseteq W$ .  $\square$

**Definition 2.12.** Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a function. One says that  $f$  is continuous on  $X$  if  $f$  is continuous at every point of  $X$ . The set of continuous functions from  $X$  into  $Y$  is denoted  $\mathcal{C}(X, Y)$ .

*Example.* Let  $A, B \subseteq \mathbb{R}^n$ , and  $f$  a rational function such that  $f$  is defined on  $A$  and  $f(A) = B$ . Consider the basis  $\mathcal{B}_A = \{A \cap \mathbb{B}(x, r) \mid x \in A, r \in \mathbb{R}_+^*\}$  resp.  $\mathcal{B}_B = \{B \cap \mathbb{B}(x, r) \mid x \in B, r \in \mathbb{R}_+^*\}$  for a topology on  $A$  resp.  $B$ , where  $\mathbb{B}(x, r)$  is the open  $n$ -ball  $\{y \in \mathbb{R}^n \mid \|x - y\|_2 < r\}$ . Take  $x_0 \in A$ , and a neighborhood  $V$  of  $f(x_0)$ . There exists an open ball  $\mathbb{B}(x_0, r)$  such that  $A \cap \mathbb{B}(x_0, r) \subseteq f^{-1}(V)$ . So  $f(A \cap \mathbb{B}(x_0, r)) \subseteq V$ , and  $f : A \rightarrow B$  is consequently continuous.

**Proposition 2.13.** Let  $X, Y, Z$  be topological spaces,  $f \in \mathcal{C}(X, Y)$ , and  $g \in \mathcal{C}(Y, Z)$ . Then, we have  $g \circ f \in \mathcal{C}(X, Z)$ .

*Proof.* Use Proposition 2.11 for the continuity of  $g \circ f$  on every point of  $X$ .  $\square$

**Proposition 2.14.** Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a function. The following conditions are equivalent:

- (i)  $f$  is continuous,
- (ii)  $f^{-1}(B)$  is an open subset of  $X$  if  $B$  is an open subset of  $Y$ ,
- (iii)  $f^{-1}(B)$  is a closed subset of  $X$  if  $B$  is a closed subset of  $Y$ ,
- (iv) for every subset  $A$  of  $X$ ,  $f(\overline{A}) \subseteq \overline{f(A)}$ .

*Proof.* (i)  $\Rightarrow$  (iv) : Let  $A \subseteq X$  and  $x_0 \in \overline{A}$ . Take a neighborhood  $W$  of  $f(x_0)$  in  $Y$ . Since  $f$  is continuous at  $x_0$ , there exists a neighborhood  $V$  of  $x_0$  in  $X$  such that  $f(V) \subseteq W$ . The fact  $x_0 \in \overline{A}$  implies  $V \cap A \neq \emptyset$ . As  $f(V \cap A) \subseteq W \cap f(A)$ , one sees that  $W \cap f(A) \neq \emptyset$ . Therefore  $f(x_0) \in \overline{f(A)}$ , and  $f(\overline{A}) \subseteq \overline{f(A)}$ .

(iv)  $\Rightarrow$  (iii) : Let  $B$  be a closed subset of  $Y$ , and  $A \in f^{-1}(B)$ . Then  $f(A) \subseteq B$ , and  $\overline{f(A)} \subseteq B$  from Proposition 1.18 (i). If  $x \in \overline{A}$ , then  $f(x) \in \overline{f(A)}$  as  $f$  is continuous. Therefore  $f(x) \in B$  and so  $x \in A$ . Thus  $A = \overline{A}$ .

(iii)  $\Rightarrow$  (ii) : Let  $B$  be an open subset of  $Y$ . Then  $Y \setminus B$  is closed, and consequently  $f^{-1}(Y \setminus B)$  is closed. But  $f^{-1}(Y \setminus B) = X \setminus f^{-1}(B)$ , then  $f^{-1}(B)$  is open.

(ii)  $\Rightarrow$  (i) : Let  $x_0 \in X$ , and  $W$  a neighborhood of  $f(x_0)$  in  $Y$ . There exists an open subset  $B$  of  $Y$  such that  $f(x_0) \in B \subseteq W$ . If  $A = f^{-1}(B)$ , then  $A$  is open, and  $A$  is a neighborhood of  $x_0$  as  $x_0 \in A$ . Since  $f(A) \subseteq B \subseteq W$ , then  $f$  is continuous at  $x_0$ .  $\square$

## 2.4 Homeomorphisms

**Proposition 2.15.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a bijective function. The following conditions are equivalent:*

- (i)  $f$  and  $f^{-1}$  are continuous,
- (ii) a subset  $A$  of  $X$  is open if and only if  $f(A)$  is open in  $Y$ ,
- (iii) a subset  $A$  of  $X$  is closed if and only if  $f(A)$  is closed in  $Y$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Using Proposition 2.14, we deduce from the continuity of  $f$  that if  $f(A)$  is open then  $A$  is open, and from the continuity of  $f^{-1}$  that if  $A$  is open then  $f(A)$  is open. One analogously proves (i)  $\Rightarrow$  (iii).

(ii)  $\Rightarrow$  (i) : Using Proposition 2.14, “if  $f(A)$  is open then  $A$  is open” implies that  $f$  is continuous, and “if  $A$  is open then  $f(A)$  is open” implies that  $f^{-1}$  is continuous. One analogously gets (iii)  $\Rightarrow$  (i).  $\square$

**Definition 2.16.** Let  $X, Y$  be topological spaces, and  $f$  a function from  $X$  into  $Y$ . One says that  $f$  is a **homeomorphism** if  $f$  is bijective, continuous, and  $f^{-1}$  is continuous. In that case, one says that  $X$  and  $Y$  are homeomorphic.

*Example.* The  $n$ -dimensional sphere is the set  $\mathbb{S}^n := \{(x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_1^2 + \dots + x_{n+1}^2 = 1\}$ . Let  $a = (0, \dots, 0, 1) \in \mathbb{S}^n$ , and identify  $\mathbb{R}^n$  with  $\{(x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_{n+1} = 0\}$ . We are going to define a homeomorphism from  $\mathbb{S}^n \setminus \{a\}$  onto  $\mathbb{R}^n$ . Take a point  $x = (x_1, \dots, x_{n+1}) \in \mathbb{S}^n \setminus \{a\}$ . The line joining  $a$  and  $x$  is  $D = \{(\lambda x_1, \dots, \lambda x_n, 1 + \lambda(x_{n+1} - 1)) \in \mathbb{R}^{n+1} \mid \lambda \in \mathbb{R}\}$ . This line touches  $\mathbb{R}^n$  when  $1 + \lambda(x_{n+1} - 1) = 0$ , that is when  $\lambda = \frac{1}{1 - x_{n+1}}$ . Thus  $D \cap \mathbb{R}^n$  reduces to the point  $f(x)$  with coordinates

$$x'_1 = \frac{x_1}{1 - x_{n+1}}, \quad x'_2 = \frac{x_2}{1 - x_{n+1}}, \quad \dots, \quad x'_n = \frac{x_n}{1 - x_{n+1}}, \quad x'_{n+1} = 0. \quad (2.1)$$

We have thus defined a function  $f : \mathbb{S}^n \setminus \{a\} \rightarrow \mathbb{R}^n$ . We now prove that, given  $x' = (x'_1, \dots, x'_n, 0)$ , there exists one and only one point  $x = (x_1, \dots, x_{n+1})$  in  $\mathbb{S}^n \setminus \{a\}$  such that  $f(x) = x'$ . The solution of Equation 2.1 yields the conditions

$$x_i = x'_i(1 - x_{n+1}) \text{ for } 1 \leq i \leq n, \quad \text{and} \quad \sum_{i=1}^n x_i'^2(1 - x_{n+1})^2 + x_{n+1}^2 = 1.$$

After dividing out  $1 - x_{n+1}$ , we obtain  $(x_1'^2 + \dots + x_n'^2)(1 - x_{n+1}) - 1 - x_{n+1} = 0$ , which gives

$$x_{n+1} = \frac{x_1'^2 + \dots + x_n'^2 - 1}{x_1'^2 + \dots + x_n'^2 + 1} \quad \text{and} \quad x_1 = \frac{2x'_1}{x_1'^2 + \dots + x_n'^2 + 1}, \quad \dots, \quad x_n = \frac{2x'_n}{x_1'^2 + \dots + x_n'^2 + 1}. \quad (2.2)$$

Thus  $f : \mathbb{S}^n \setminus \{a\} \rightarrow \mathbb{R}^n$  is a bijection. Let  $\mathcal{B}_{\mathbb{S}^n \setminus \{a\}} = \{\mathbb{S}^n \setminus \{a\} \cap \mathbb{B}(x, r) \mid x \in \mathbb{S}^n \setminus \{a\}, r \in \mathbb{R}_+^*\}$  resp.  $\mathcal{B}_{\mathbb{R}^n} = \{\mathbb{R}^n \cap \mathbb{B}(x, r) \mid x \in \mathbb{R}^n, r \in \mathbb{R}_+^*\}$  be a basis for a topology on  $\mathbb{S}^n \setminus \{a\}$  resp.  $\mathbb{R}^n$ , where  $\mathbb{B}(x, r)$  is the open  $n + 1$ -ball  $\{y \in \mathbb{R}^{n+1} \mid \|x - y\|_2 < r\}$ . We see in Equation 2.1 resp. Equation 2.2 that  $f$  resp.  $f^{-1}$  is a rational function, and is consequently continuous. Hence  $f$  is a homeomorphism called stereographic projection of  $\mathbb{S}^n \setminus \{a\}$  onto  $\mathbb{R}^n$ .

## Chapter 3

# Construction of Topological Spaces

### 3.1 Topological Subspaces

**Proposition 3.1.** *Let  $X$  be a topological space,  $\mathcal{U}$  a topology on  $X$ , and  $Y$  a subset of  $X$ . Then  $\mathcal{V} = \{U \cap Y \mid U \in \mathcal{U}\}$  is a topology on  $Y$ .*

*Proof.* (i) : As  $\emptyset, X \in \mathcal{U}$ , then  $\emptyset = \emptyset \cap Y \in \mathcal{V}$  and  $Y = X \cap Y \in \mathcal{V}$ .

(ii) : Let  $\{V_i\}_{i \in I}$  be a family of subsets belonging to  $\mathcal{V}$ . For every  $i \in I$ , there exists  $U_i \in \mathcal{U}$  such that  $V_i = U_i \cap Y$ . Therefore  $\bigcup_{i \in I} V_i = \bigcup_{i \in I} (U_i \cap Y) = \left( \bigcup_{i \in I} U_i \right) \cap Y \in \mathcal{V}$ .

(iii) : If  $I$  is finite, then  $\bigcap_{i \in I} V_i = \bigcap_{i \in I} (U_i \cap Y) = \left( \bigcap_{i \in I} U_i \right) \cap Y \in \mathcal{V}$ . □

**Definition 3.2.** Let  $X$  be a topological space,  $\mathcal{U}$  a topology on  $X$ , and  $Y$  a subset of  $X$ . The set  $\mathcal{V} = \{U \cap Y \mid U \in \mathcal{U}\}$  is called the **topology induced** on  $Y$  by the given topology of  $X$ . Equipped with this topology,  $Y$  is called a **topological subspace** of  $X$ .

*Example.* Consider  $\mathbb{R}$  with the usual topology. As  $\{n\} = \mathbb{Z} \cap \left(n - \frac{1}{2}, n + \frac{1}{2}\right)$ , every point set  $\{n\}$  of  $\mathbb{Z}$  is therefore open. Every subset of  $\mathbb{Z}$  is the union of point sets, then is open. Thus the topological subspace  $\mathbb{Z}$  of  $\mathbb{R}$  is discrete.

**Proposition 3.3.** *Let  $X$  be a topological space,  $Y$  a subspace of  $X$ , and  $A$  a subset of  $Y$ . The following conditions are equivalent:*

- (i)  $A$  is closed in  $Y$ ,
- (ii)  $A$  is the intersection with  $Y$  of a closed subset of  $X$ .

*Proof.* (i)  $\Rightarrow$  (ii) : The subset  $Y \setminus A$  is open in  $Y$ . Therefore there exists an open subset  $U$  of  $X$  such that  $Y \setminus A = U \cap Y$ . Thus  $A = (X \setminus U) \cap Y$ , and since  $X \setminus U$  is closed, we get the result.

(ii)  $\Rightarrow$  (i) : Suppose  $A = V \cap Y$  where  $V$  is closed subset of  $X$ . Then  $Y \setminus A = (X \setminus V) \cap Y$ . Since  $X \setminus V$  is open in  $X$ , then  $Y \setminus A$  is open in  $Y$ , and  $A$  is closed in  $Y$ . □

**Proposition 3.4.** *Let  $X$  be a topological space,  $Y$  a subspace of  $X$ , and  $x \in Y$ . For a subset  $A$  of  $Y$ , the following conditions are equivalent:*

- (i)  $A$  is a neighborhood of  $x$  in  $Y$ ,

(ii)  $A$  is the intersection with  $Y$  of a neighborhood of  $x$  in  $X$ .

*Proof.* (i)  $\Rightarrow$  (ii) : There exists an open subset  $B$  of  $Y$  such that  $x \in B \subseteq A$ . Then there exists an open subset  $U$  of  $X$  such that  $B = U \cap Y$ . Letting  $V = U \cup A$ , we have  $x \in V$ , thus  $V$  is a neighborhood of  $x$  in  $X$ . Besides,  $Y \cap V = (Y \cap U) \cup (Y \cap A) = B \cup A = A$ .

(ii)  $\Rightarrow$  (i) : Suppose  $A = Y \cap V$  where  $V$  is a neighborhood of  $x$  in  $X$ . There exists an open subset  $U$  of  $X$  such that  $x \in U \subseteq V$ . Then  $x \in Y \cap U \subseteq Y \subseteq V = A$ , and since  $Y \cap U$  is open in  $Y$ , thus  $A$  is neighborhood of  $x$  in  $Y$ .  $\square$

**Proposition 3.5.** *Let  $X$  be a topological space, and  $Y \subseteq X$ . If  $X$  is separated, then  $Y$  is separated.*

*Proof.* Take two distinct points  $x, y$  of  $Y$ . There exist disjoint neighborhoods  $U$  and  $V$  of  $x$  and  $y$  respectively in  $X$ . We deduce from Proposition 3.4 that  $U \cap Y$  and  $V \cap Y$  are neighborhoods of  $x$  and  $y$  respectively in  $Y$ , and they are disjoint.  $\square$

**Proposition 3.6.** *Let  $X, Y, Z$  be topological spaces such that  $X \supseteq Y \supseteq Z$ . Assume  $\mathcal{U}$  is a topology on  $X$ ,  $\mathcal{V}$  the topology induced by  $\mathcal{U}$  on  $Y$ , and  $\mathcal{W}$  the topology induced by  $\mathcal{V}$  on  $Z$ . Then  $\mathcal{W}$  is the topology induced by  $\mathcal{U}$  on  $Z$ .*

*Proof.* Let  $\mathcal{W}'$  be the topology induced by  $\mathcal{U}$  on  $Z$ .

For  $W \in \mathcal{W}$ , there exist  $V \in \mathcal{V}$  such that  $W = V \cap Z$ , and  $U \in \mathcal{U}$  such that  $V = U \cap Y$ . Then  $W = U \cap Z$ , and consequently  $W \in \mathcal{W}'$ .

For  $W' \in \mathcal{W}'$ , there exists  $U \in \mathcal{U}$  such that  $W' = U \cap Z$ . If  $V = U \cap Y$ , then  $V \in \mathcal{V}$  and  $W' = V \cap Z$ . Therefore  $W' \in \mathcal{W}$ .  $\square$

**Proposition 3.7.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a topological space,  $Y'$  a subspace of  $Y$ ,  $f : X \rightarrow Y'$  a function, and  $l$  a point of  $Y'$ . The following conditions are equivalent:*

(i)  $f$  tends to  $l$  along  $\mathcal{B}$  relative to  $Y'$ ,

(ii)  $f$  tends to  $l$  along  $\mathcal{B}$  relative to  $Y$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Let  $V$  be a neighborhood of  $l$  in  $Y$ . We know from Proposition 3.4 that  $V \cap Y'$  is a neighborhood of  $l$  in  $Y'$ . There exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V \cap Y'$ . Thus  $f(B) \subseteq V$ , and  $f$  consequently tends to  $l$  along  $\mathcal{B}$  relative to  $Y$ .

(ii)  $\Rightarrow$  (i) : Let  $V'$  be a neighborhood of  $l'$  in  $Y'$ . From Proposition 3.4, there exists a neighborhood  $V$  of  $l$  in  $Y$  such that  $V \cap Y' = V'$ . Besides, there exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V$ . Since  $f(X) \subseteq Y'$ , one has  $f(B) \subseteq V \cap Y'$  which is  $V'$ . Thus  $f$  tends to  $l$  along  $\mathcal{B}$  relative to  $Y$ .  $\square$

**Corollary 3.8.** *Let  $X, Y$  be topological spaces,  $Y'$  a subspace of  $Y$ , and  $f : X \rightarrow Y'$  a function. The following conditions are equivalent:*

(i)  $f$  is continuous,

(ii)  $f$ , regarded as a function from  $X$  into  $Y$ , is continuous.

*Proof.* For every  $x_0 \in X$ , the condition  $\lim_{x \rightarrow x_0} f(x) = f(x_0)$  has the same meaning, according to Proposition 3.7 for the neighborhood filter of  $x_0$ , whether one considers  $f$  to have values in  $Y'$  or in  $Y$ .  $\square$



### 3.2 Products of Topological Spaces

**Proposition 3.9.** *Let  $X_1, \dots, X_n$  be topological spaces equipped with topologies  $\mathcal{U}_1, \dots, \mathcal{U}_n$  respectively. The set  $\mathcal{U}$  formed by any union of elements in  $\mathcal{U}_1 \times \dots \times \mathcal{U}_n$  is a topology on  $X = X_1 \times \dots \times X_n$ .*

*Proof.* (i) : We have  $X = X_1 \times \dots \times X_n \in \mathcal{U}_1 \times \dots \times \mathcal{U}_n$  and  $\emptyset = \emptyset \times X_2 \times \dots \times X_n \in \mathcal{U}_1 \times \dots \times \mathcal{U}_n$ .

(ii) : From its definition, any union of elements in  $\mathcal{U}$  is a union of elements in  $\mathcal{U}_1 \times \dots \times \mathcal{U}_n$ .

(iii) : Take  $A, B \in \mathcal{U}$ . We have  $A = \bigcup_{\alpha \in I} A_\alpha$  and  $B = \bigcup_{\beta \in J} B_\beta$  with  $A_\alpha, B_\beta \in \mathcal{U}_1 \times \dots \times \mathcal{U}_n$ . Then

$$A \cap B = \bigcup_{\substack{\alpha \in I \\ \beta \in J}} A_\alpha \cap B_\beta. \text{ Setting } A_\alpha = A_1 \times \dots \times A_n \text{ and } B_\beta = B_1 \times \dots \times B_n, \text{ we get}$$

$$A_\alpha \cap B_\beta = (A_1 \cap B_1) \times \dots \times (A_n \cap B_n) \in \mathcal{U}_1 \times \dots \times \mathcal{U}_n.$$

□

**Definition 3.10.** Let  $X_1, \dots, X_n$  be topological spaces equipped with topologies  $\mathcal{U}_1, \dots, \mathcal{U}_n$  respectively. The topology  $\mathcal{U}$  on  $X = X_1 \times \dots \times X_n$  formed by any union of elements in  $\mathcal{U}_1 \times \dots \times \mathcal{U}_n$  is called the **product topology** of the given topologies on  $X_1, \dots, X_n$ . Equipped with this topology,  $X$  is called the **product topological space** of the topological spaces  $X_1, \dots, X_n$ .

**Proposition 3.11.** *Let  $X = X_1 \times \dots \times X_n$  be a product of topological spaces, and  $x = (x_1, \dots, x_n) \in X$ . The sets of the form  $V_1 \times \dots \times V_n$ , where  $V_i$  is a neighborhood of  $x_i$  in  $X_i$ , constitute a fundamental system of neighborhoods of  $x$  in  $X$ .*

*Proof.* For  $i \in \{1, \dots, n\}$ , let  $V_i$  be a neighborhood of  $x_i$  in  $X_i$ . There exists an open subset  $A_i$  of  $X_i$  such that  $x_i \in A_i \subseteq V_i$ . Then  $x \in A_1 \times \dots \times A_n \subseteq V_1 \times \dots \times V_n$ . As  $A_1 \times \dots \times A_n$  is open in  $X$ , thus  $V_1 \times \dots \times V_n$  is a neighborhood of  $x$  in  $X$ .

Let  $V$  be a neighborhood of  $x$  in  $X$ . There exists an open subset  $A$  of  $X$  such that  $x \in A \subseteq V$ . By definition of the product topology, there exists an open subset  $A_i$  such that  $x_i \in A_i$  and  $A_1 \times \dots \times A_n \subseteq A$ . Thus  $A_i$  is a neighborhood of  $x_i$  and  $A_1 \times \dots \times A_n \subseteq V$ . □

**Proposition 3.12.** *Let  $X = X_1 \times \dots \times X_n$  be a product of topological spaces. If each  $X_i$  is separated, then  $X$  is separated.*

*Proof.* Let  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$  be two distinct points of  $X$ . One has  $x_i \neq y_i$  for at least one  $i \in \{1, \dots, n\}$ . If  $x_1 \neq y_1$  for example, there exist disjoint neighborhoods  $U$  and  $V$  of  $x_1$  and  $y_1$  respectively in  $X_1$ . Then  $U \times X_2 \times \dots \times X_n$  and  $V \times X_2 \times \dots \times X_n$  are disjoint neighborhoods of  $x$  and  $y$  respectively in  $X$ . □

**Proposition 3.13.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y = Y_1 \times \dots \times Y_n$  a product of topological spaces, and  $l = (l_1, \dots, l_n) \in Y$ . Consider a function  $f : X \rightarrow Y$ , that is, having the form  $x \mapsto (f_1(x), \dots, f_n(x))$ , where  $f_i : X \rightarrow Y_i$  is also a function for  $i \in \{1, \dots, n\}$ . Then, the following conditions are equivalent:*

(i)  $f$  tends to  $l$  along  $\mathcal{B}$ ,

(ii)  $f_i$  tends to  $l_i$  along  $\mathcal{B}$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Let us show, for example, that  $f_1$  tends to  $l_1$  along  $\mathcal{B}$ . If  $V_1$  is a neighborhood of  $l_1$ , then  $V_1 \times Y_2 \times \cdots \times Y_n$  is a neighborhood of  $l$  in  $Y$ . Therefore, there exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V_1 \times Y_2 \times \cdots \times Y_n$ . Thus  $f_1(B) \subseteq V_1$ , and  $f_1$  consequently tends to  $l_1$  along  $\mathcal{B}$ .

(ii)  $\Rightarrow$  (i) : Let  $V$  be a neighborhood of  $l$  in  $Y$ . We know from Proposition 3.11 that there exist neighborhoods  $V_1, \dots, V_n$  of  $l_1, \dots, l_n$  respectively in  $Y_1, \dots, Y_n$  such that  $V_1 \times \cdots \times V_n \subseteq V$ . Then, there exist  $B_1, \dots, B_n \in \mathcal{B}$  such that  $f_1(B_1) \subseteq V_1, \dots, f_n(B_n) \subseteq V_n$ . Moreover, there exists  $B \in \mathcal{B}$  such that  $B \subseteq B_1 \cap \cdots \cap B_n$ . Then,  $f(B) \subseteq f_1(B_1) \times \cdots \times f_n(B_n) \subseteq V_1 \times \cdots \times V_n \subseteq V$ , and  $f$  consequently tends to  $l$  along  $\mathcal{B}$ .  $\square$

**Proposition 3.14.** *Let  $X$  be a topological space, and  $Y = Y_1 \times \cdots \times Y_n$  a product of topological spaces. Consider a function  $f : X \rightarrow Y$ , that is, having the form  $x \mapsto (f_1(x), \dots, f_n(x))$ , where  $f_i : X \rightarrow Y_i$  is also a function for  $i \in \{1, \dots, n\}$ . The following conditions are equivalent:*

- (i)  $f$  is continuous,
- (ii)  $f_1, \dots, f_n$  are continuous.

*Proof.* For every  $x_0 \in X$ , the conditions  $\lim_{x \rightarrow x_0} f(x) = f(x_0)$  and  $\lim_{x \rightarrow x_0} f_i(x) = f_i(x_0)$ , for  $i \in \{1, \dots, n\}$ , are equivalent by Proposition 3.13 using the neighborhood filter of  $x_0$ .  $\square$

### 3.3 Quotient Spaces

**Proposition 3.15.** *Let  $X$  be a topological space with topology  $\mathcal{U}$ ,  $\mathcal{R}$  an equivalence relation on  $X$ , and  $c$  the canonical mapping from  $X$  onto  $X/\mathcal{R}$ . Then the set defined by  $\mathcal{V} := \{A \subseteq X/\mathcal{R} \mid c^{-1}(A) \in \mathcal{U}\}$  a topology on  $X/\mathcal{R}$ .*

*Proof.* The set  $\emptyset$  and  $X/\mathcal{R}$  are open in  $X/\mathcal{R}$  since  $c^{-1}(\emptyset) = \emptyset$  and  $c^{-1}(X/\mathcal{R}) = X$ . The two other conditions follow, for a set  $\{A_i\}_{i \in I}$  included in  $\mathcal{V}$ , from the equations

$$c^{-1}\left(\bigcup_{i \in I} A_i\right) = \bigcup_{i \in I} c^{-1}(A_i) \quad \text{and} \quad c^{-1}\left(\bigcap_{i=1}^n A_i\right) = \bigcap_{i=1}^n c^{-1}(A_i).$$

$\square$

**Definition 3.16.** Let  $X$  be a topological space with topology  $\mathcal{U}$ ,  $\mathcal{R}$  an equivalence relation on  $X$ , and  $c$  the canonical mapping from  $X$  onto  $X/\mathcal{R}$ . The topology  $\{A \subseteq X/\mathcal{R} \mid c^{-1}(A) \in \mathcal{U}\}$  on  $X/\mathcal{R}$  is called the **quotient topology** of the topology of  $X$  by  $\mathcal{R}$ . Equipped with this topology,  $X/\mathcal{R}$  is called the **quotient space** of  $X$  by  $\mathcal{R}$ .

**Proposition 3.17.** *Let  $X$  be a topological space,  $\mathcal{R}$  an equivalence relation on  $X$ ,  $c$  the canonical mapping from  $X$  onto  $X/\mathcal{R}$ ,  $Y$  a topological space, and  $f : X/\mathcal{R} \rightarrow Y$  a function. The following conditions are equivalent:*

- (i)  $f$  is continuous on  $X/\mathcal{R}$ ,
- (ii) the function  $f \circ c : X \rightarrow Y$  is continuous.

*Proof.* (i)  $\Rightarrow$  (ii) : The mapping  $c$  is continuous as, if  $A$  is open in  $X/\mathcal{R}$ , then  $c^{-1}(A)$  is open in  $X$ . Since  $f$  is also continuous, then  $f \circ c$  is continuous.

(ii)  $\Rightarrow$  (i) : Let  $B$  be an open subset of  $Y$ . Then  $c^{-1}(f^{-1}(B)) = (f \circ c)^{-1}(B)$  is open in  $X$ . Therefore  $f^{-1}(B)$  is open in  $X/\mathcal{R}$  by the definition of  $c$ . Thus  $f$  is continuous from Proposition 2.14.  $\square$

# Chapter 4

## Compact Spaces

### 4.1 Compact Spaces

**Definition 4.1.** Let  $X$  be a set, and  $A$  a subset of  $X$ . A family  $\mathcal{F}$  of subsets included in  $X$  is a **covering** of  $A$  if  $A \subseteq \bigcup_{U \in \mathcal{F}} U$ .

**Definition 4.2.** A topological space  $X$  is **compact** if, for any family  $\mathcal{O}$  of open subsets of  $X$  covering  $X$ , one can extract from  $\mathcal{O}$  a finite subfamily that again covers  $X$ . By passage to complements, this definition is equivalent, for any family  $\mathcal{C}$  of closed subsets of  $X$  having empty intersection, to the existence of a finite subfamily of  $\mathcal{C}$  having empty intersection.

**Proposition 4.3.** Let  $X$  be a topological space, and  $A$  a subspace of  $X$ . The following conditions are equivalent:

- (i)  $A$  is compact,
- (ii) if a family of open subsets of  $X$  covers  $A$ , one can extract from it a finite subfamily that again covers  $A$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Let  $\{U_i\}_{i \in I}$  be a family of open subsets of  $X$  such that  $A \subseteq \bigcup_{i \in I} U_i$ . Every  $U_i \cap A$  is open in  $A$ , and the family  $\{U_i \cap A\}_{i \in I}$  covers  $A$ , so there exists a finite subset  $J$  of  $I$  such that  $A = \bigcup_{j \in J} (U_j \cap A)$ . The subfamily  $\{U_j\}_{j \in J}$  consequently covers  $A$ .

(ii)  $\Rightarrow$  (i) : Let  $\{V_i\}_{i \in I}$  be a family of open sets of  $A$  covering  $A$ . For every  $i \in I$ , there exists an open subset  $U_i$  of  $X$  such that  $V_i = U_i \cap A$ . Then  $\{U_i\}_{i \in I}$  covers  $A$ , there consequently exists a finite subset  $J$  of  $I$  such that  $\{U_j\}_{j \in J}$  covers  $A$ . Therefore  $\bigcup_{j \in J} V_j = A$ .  $\square$

**Theorem 4.4 (Borel-Lebesgue).** Consider the space  $\mathbb{R}$  equipped with the usual topology, and let  $a, b \in \mathbb{R}$  with  $a \leq b$ . Then the interval  $[a, b]$  is compact.

*Proof.* Let  $\{U_i\}_{i \in I}$  be a family of open subsets of  $\mathbb{R}$  covering  $[a, b]$ , and  $A$  be the set of  $x \in [a, b]$  such that  $[a, x]$  is covered by a finite subfamily of  $\{U_i\}_{i \in I}$ . The set  $A$  is nonempty since  $a \in A$ . It is contained in  $[a, b]$ , and therefore has a supremum  $m$  in  $[a, b]$ . There exists  $j \in I$  such that  $m \in U_j$ . Since  $U_j$  is open in  $\mathbb{R}$ , there exists  $\varepsilon > 0$  such that  $[m - \varepsilon, m + \varepsilon] \subseteq U_j$ . As  $m$  is the supremum of  $A$ , there exists  $x \in A$  such that  $m - \varepsilon \leq x \leq m$ . Then  $[a, x]$  is covered by a finite subfamily  $\{U_k\}_{k \in K}$ , and

with  $[x, m + \varepsilon] \subseteq U_j$ , we get  $[a, m + \varepsilon]$  covered by the finite subfamily  $\{U_k\}_{k \in K} \cup \{U_j\}$ . One sees that  $m + \varepsilon \in [a, b]$  contradicts the fact that  $m$  is the supremum in  $[a, b]$ . Hence  $m = b$ , and  $[a, b]$  is covered by a finite subfamily of  $\{U_i\}_{i \in I}$ . We deduce the compactness of  $[a, b]$  from Proposition 4.3.  $\square$

## 4.2 Properties of Compact Spaces

**Proposition 4.5.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a compact space, and  $f : X \rightarrow Y$  a function. Then  $f$  admits at least one adherence value along  $\mathcal{B}$ .*

*Proof.* Consider the family  $\{\overline{f(B)}\}_{B \in \mathcal{B}}$  of closed subsets of  $Y$ , and let  $A = \bigcap_{B \in \mathcal{B}} \overline{f(B)}$ . If  $A = \emptyset$ , there exist  $B_1, \dots, B_n \in \mathcal{B}$  such that  $\overline{f(B_1)} \cap \dots \cap \overline{f(B_n)} = \emptyset$  as  $Y$  is compact. Now, there exists  $B \in \mathcal{B}$  such that  $B \subseteq B_1 \cap \dots \cap B_n$ , whence  $f(B) \subseteq f(B_1) \cap \dots \cap f(B_n)$ , and consequently  $f(B_1) \cap \dots \cap f(B_n) \neq \emptyset$ . This contradiction proves that  $A \neq \emptyset$ , so we get the result by using Proposition 2.9.  $\square$

**Proposition 4.6.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a compact space,  $f : X \rightarrow Y$  a function, and  $A$  the set of adherence values of  $f$  along  $\mathcal{B}$ . Take an open subset  $U$  of  $Y$  containing  $A$ . Then, there exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq U$ .*

*Proof.* One has  $(Y \setminus U) \cap A = \emptyset$ , meaning that  $(Y \setminus U) \cap \bigcap_{B \in \mathcal{B}} \overline{f(B)} = \emptyset$ . Since  $Y$  is compact, there exist  $B_1, \dots, B_n \in \mathcal{B}$  such that  $(Y \setminus U) \cap \overline{f(B_1)} \cap \dots \cap \overline{f(B_n)} = \emptyset$ . Furthermore, there exist  $B \in \mathcal{B}$  such that  $B \subseteq B_1 \cap \dots \cap B_n$ . Then  $(Y \setminus U) \cap \overline{f(B)} = \emptyset$ , implying  $\overline{f(B)} \subseteq U$ .  $\square$

**Corollary 4.7.** *Let  $X$  be a set equipped with a filter base  $\mathcal{B}$ ,  $Y$  a compact space, and  $f : X \rightarrow Y$  a function. If  $f$  admits only one adherence value  $l$  along  $\mathcal{B}$ , then  $f$  tends to  $l$  along  $\mathcal{B}$ .*

*Proof.* From Proposition 4.6, for any neighborhood  $V$  of  $l$ , there exists  $B \in \mathcal{B}$  such that  $f(B) \subseteq V$ .  $\square$

**Proposition 4.8.** *Let  $X$  be a compact space, and  $A$  a closed subspace of  $X$ . Then  $A$  is compact.*

*Proof.* Let  $\{A_i\}_{i \in I}$  be a family of closed subsets of  $A$  with empty intersection. We know from Proposition 3.3 that each  $A_i$  is the intersection of  $A$  with a closed subset of  $X$  then is closed in  $X$ . Since  $X$  is compact, there exists a finite subfamily  $\{A_j\}_{j \in J}$  with empty intersection.  $\square$

**Proposition 4.9.** *Let  $X$  be a separated space, and  $A$  a compact subspace of  $X$ . Then  $A$  is closed in  $X$ .*

*Proof.* Take  $x \in X \setminus A$ . For every  $y \in A$ , there exist open neighborhoods  $U_y, V_y$  of  $x, y$  respectively in  $X$  that are disjoint. We have  $A \subseteq \bigcup_{y \in A} V_y$ , and since  $A$  is compact, there exist  $y_1, \dots, y_n \in A$  such that  $A \subseteq V_{y_1} \cup \dots \cup V_{y_n}$ . The set  $U_{y_1} \cap \dots \cap U_{y_n}$  is an open neighborhood of  $x$  contained in  $X \setminus A$ . It follows that  $X \setminus A$  is neighborhood of each of its points, and is consequently open from Proposition 1.9. Therefore  $A$  is closed in  $X$ .  $\square$

**Proposition 4.10.** *Let  $X$  be a separated space.*

- (i) *If  $A, B$  are compact subsets of  $X$ , then  $A \cup B$  is compact.*
- (ii) *If  $\{A_i\}_{i \in I}$  is a nonempty family of compact subsets of  $X$ , then  $\bigcap_{i \in I} A_i$  is compact.*

*Proof.* (i) : Let  $\{U_i\}_{i \in I}$  be a covering of  $A \cup B$  by open subsets of  $X$ . There exist finite subsets  $J_1, J_2$  of  $I$  such that  $\{U_j\}_{j \in J_1}$  covers  $A$  and  $\{U_j\}_{j \in J_2}$  covers  $B$ . Then  $\{U_j\}_{j \in J_1 \cup J_2}$  covers  $A \cup B$ , and we deduce from Proposition 4.3 that  $A \cup B$  is compact.

(ii) : We know from Proposition 4.9 that each  $A_i$  is closed in  $X$ . Therefore  $\bigcap_{i \in I} A_i$  is closed in  $X$ , and consequently in each  $A_i$ . Since each  $A_i$  is compact, then  $\bigcap_{i \in I} A_i$  is compact by Proposition 4.8.  $\square$

**Proposition 4.11.** *Let  $X$  be a separated compact space. Every point of  $X$  has a fundamental system of compact neighborhoods.*

*Proof.* Take a point  $x_0$  and an open neighborhood  $A$  of  $x_0$  in  $X$ . The sets  $\{x_0\}$  and  $X \setminus A$  are disjoint compact subsets of  $X$ . For every  $x \in X \setminus A$ , there exist disjoint open subsets  $U_x, V_x$  of  $X$  such that  $x_0 \in U_x$  and  $x \in V_x$ . Since  $X \setminus A \subseteq \bigcup_{x \in X \setminus A} V_x$ , there exists  $x_1, \dots, x_n \in X \setminus A$  such that  $X \setminus A \subseteq V_{x_1} \cup \dots \cup V_{x_n}$ . Then,  $U = U_{x_1} \cap \dots \cap U_{x_n}$  and  $V = V_{x_1} \cup \dots \cup V_{x_n}$  are disjoint open subsets of  $X$  such that  $x_0 \in U$  and  $X \setminus A \subseteq V$ . Hence  $\bar{U}$  is a compact neighborhood of  $x_0$ . We have  $U \subseteq X \setminus V$ , therefore  $\bar{U} \subseteq X \setminus V$  as  $X \setminus V$  is closed, and consequently  $\bar{U} \subseteq A$ .  $\square$

**Proposition 4.12.** *Let  $X$  be a compact space,  $Y$  a topological space, and  $f : X \rightarrow Y$  a continuous function. Then  $f(X)$  is compact.*

*Proof.* Let  $\{U_i\}_{i \in I}$  be a family of open subsets of  $Y$  covering  $f(X)$ . Since  $f$  is continuous, then each  $f^{-1}(U_i)$  is an open subset of  $X$  from Proposition 2.14. Moreover,  $X = \bigcup_{i \in I} f^{-1}(U_i)$ , then there exists a finite subset  $J$  of  $I$  such that  $X = \bigcup_{j \in J} f^{-1}(U_j)$ . Hence  $\{U_j\}_{j \in J}$  covers  $f(X)$ , and  $f(X)$  is therefore compact.  $\square$

**Corollary 4.13.** *Let  $X$  be a compact space,  $Y$  a separated space, and  $f : X \rightarrow Y$  a continuous bijective function. Then  $f$  is a homeomorphism of  $X$  onto  $Y$ .*

*Proof.* If  $A$  is a closed subset of  $X$ , then  $A$  is compact from Proposition 4.8, therefore  $f(A)$  is compact from Proposition 4.12, and consequently closed from Proposition 4.9. We deduce from Proposition 2.14 that  $f^{-1}$  is continuous.  $\square$

**Theorem 4.14.** *The product of a finite number of compact spaces is compact.*

*Proof.* It suffices to show that if  $X$  and  $Y$  are compact, then  $X \times Y$  is compact. Let  $\{U_i\}_{i \in I}$  be a covering of  $X \times Y$  with open subsets. For every  $m = (x, y) \in X \times Y$ , fix an open set  $U_m$  such that  $m \in U_m$ . By Proposition 3.11, there exist an open neighborhood  $V_m$  of  $x$  in  $X$  and an open neighborhood  $W_m$  of  $y$  in  $Y$  such that  $V_m \times W_m \subseteq U_m$ .

For a fixed  $x_0 \in X$ ,  $\{x_0\} \times Y$  is homeomorphic to  $Y$ . Indeed, the function  $y \mapsto (x_0, y)$  of  $Y$  onto  $\{x_0\} \times Y$  is bijective. It is continuous from  $Y$  into  $X \times Y$  by Proposition 3.14, therefore from  $Y$  into  $\{x_0\} \times Y$  by Corollary 3.8. Its inverse function is the composite of the canonical injection of  $\{x_0\} \times Y$  into  $X \times Y$ , which is continuous from Corollary 3.8 once again, and of the canonical projection of  $X \times Y$  onto  $Y$ , which is also continuous from Proposition 3.14. The set  $\{x_0\} \times Y$  is then compact.

The family of open subsets  $\{V_m \times W_m\}_{m \in \{x_0\} \times Y}$  is a covering of  $\{x_0\} \times Y$ , so there consequently exist finite points  $m_1, \dots, m_n \in \{x_0\} \times Y$  such that  $\{x_0\} \times Y \subseteq (V_{m_1} \times W_{m_1}) \cup \dots \cup (V_{m_n} \times W_{m_n})$ . The intersection  $A_{x_0} = V_{m_1} \cap \dots \cap V_{m_n}$  is an open neighborhood of  $x_0$ . For every  $(x, y) \in A_{x_0} \times Y$ , there exists

$k \in \{1, \dots, n\}$  such that  $(x, y) \in V_{m_k} \times W_{m_k}$ , hence  $A_{x_0} \times Y$  is covered by a finite subset of  $\{U_i\}_{i \in I}$ . Now  $\{A_{x_0}\}_{x_0 \in X}$  forms a covering of  $X$ , from which one can extract a finite covering of open subsets  $\{A_{x_1}, \dots, A_{x_p}\}$ . Each  $A_{x_j} \times Y$ , with  $j \in \{1, \dots, p\}$ , is covered by a finite subset of  $\{U_i\}_{i \in I}$ , therefore  $X \times Y$  is covered by a finite subset of  $\{U_i\}_{i \in I}$ .  $\square$

### 4.3 Locally Compact Spaces

**Definition 4.15.** A topological space  $X$  is said to be **locally compact** if every point of  $X$  admits a compact neighborhood.

*Example.* Consider the product topological space  $\mathbb{R}^n$ , where  $\mathbb{R}$  is equipped with the usual topology, and take  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ . We know from the theorem of Borel-Lebesgue that, for every  $i \in \{1, \dots, n\}$ ,  $[x_i - 1, x_i + 1]$  is a compact neighborhood of  $x_i$  in  $\mathbb{R}$ . Then, by Proposition 3.11 and Theorem 4.14,  $[x_1 - 1, x_1 + 1] \times \dots \times [x_n - 1, x_n + 1]$  is a compact neighborhood of  $x$ . The topological space  $\mathbb{R}^n$  is therefore locally compact.

**Proposition 4.16.** *Let  $X$  be a separated space. The following conditions are equivalent:*

- (i)  $X$  is locally compact,
- (ii) every point of  $X$  admits a fundamental system of compact neighborhoods.

*Proof.* We obviously have (ii)  $\Rightarrow$  (i). We only prove (i)  $\Rightarrow$  (ii) : Let  $x \in X$  and  $V$  be a compact neighborhood of  $x$ . We know from Proposition 4.11 that  $x$  admits in  $V$  a fundamental system  $\{V_i\}_{i \in I}$  of compact neighborhoods. We deduce from Proposition 3.4 that  $\{V_i\}_{i \in I}$  is a fundamental system of compact neighborhoods of  $x$  in  $X$ .  $\square$

**Proposition 4.17.** *Let  $X$  be a locally compact space, and  $Y$  a subspace of  $X$ .*

- (i) *If  $Y$  is closed, then  $Y$  is locally compact.*
- (ii) *If  $X$  is separated and  $Y$  is open, then  $Y$  is locally compact.*

*Proof.* Let  $x \in Y$  and  $V$  a compact neighborhood of  $x$  in  $X$ . Then  $V \cap Y$  is a neighborhood of  $x$  in  $Y$ .

(i) : We know from Proposition 3.3 that  $V \cap Y$  is closed in  $V$ , hence is compact by Proposition 4.8.

(ii) : As  $Y$  is a neighborhood of  $x$ , we can suppose from Proposition 4.16 that  $V \subseteq Y$ , and then  $V$  is a compact neighborhood of  $x$  in  $Y$ .  $\square$

**Proposition 4.18.** *Let  $X_1, \dots, X_n$  be locally compact spaces, and  $X = X_1 \times \dots \times X_n$ . Then  $X$  is locally compact.*

*Proof.* Take  $x = (x_1, \dots, x_n) \in X$ . For every  $i \in \{1, \dots, n\}$ , there exists a compact neighborhood  $V_i$  of  $x_i$  in  $X_i$ . Then  $V_1 \times \dots \times V_n$  is a neighborhood of  $x$  in  $X$  is compact by Theorem 4.14.  $\square$

# Chapter 5

## Connected Spaces

### 5.1 Connected Spaces

**Definition 5.1.** A topological space  $X$  is said to be **connected** if there does not exist a pair  $(A, B)$  of disjoint nonempty open subsets of  $X$  such that  $X = A \sqcup B$ . By passage to complements, this definition is equivalent to the nonexistence of a pair  $(A, B)$  of disjoint nonempty closed subsets of  $X$  such that  $X = A \sqcup B$ . It is also equivalent to the nonexistence of a subset of  $X$ , distinct from  $X$  and  $\emptyset$ , that is both open and closed.

**Proposition 5.2.** *The topological space  $\mathbb{R}$  equipped with the usual topology is connected.*

*Proof.* Let  $A$  be an open and closed subset of  $\mathbb{R}$ , and assume  $A$  and  $\mathbb{R} \setminus A$  nonempty. Taking  $x \in \mathbb{R} \setminus A$ , one of the sets  $A \cap [x, +\infty)$  and  $A \cap (-\infty, x]$  is nonempty. Suppose that  $B = A \cap [x, +\infty) \neq \emptyset$ . Then  $B$  is closed. Since it is bounded below, then it has a smallest element as its infimum  $b$  is adherent to  $B$ . Besides, since  $B = A \cap (x, +\infty)$ , then  $B$  is also open. Hence  $B$  contains an interval  $(b - \varepsilon, b + \varepsilon)$  with  $\varepsilon > 0$ . That contradicts the fact that  $b$  is the smallest element of  $B$ .  $\square$

**Definition 5.3.** Let  $X$  be a topological space and  $Y \subseteq X$ . One says that  $Y$  is a **connected subset** of  $X$  if the topological space  $Y$  is connected.

*Example.* The subspace  $\mathbb{Q}$  of  $\mathbb{R}$  is not connected. Take indeed an element  $x \in \mathbb{R} \setminus \mathbb{Q}$  such as  $\sqrt{2}$  or  $\pi$ . Then  $\mathbb{Q} = ((-\infty, x) \cap \mathbb{Q}) \sqcup ((x, +\infty) \cap \mathbb{Q})$  which are two disjoint open subsets of  $\mathbb{Q}$ .

**Proposition 5.4.** *Let  $X$  be a topological space,  $\{A_i\}_{i \in I}$  a family of connected subsets of  $X$ , and  $A$  the set  $\bigcup_{i \in I} A_i$ . If the  $A_i$  intersect pairwise, then  $A$  is connected.*

*Proof.* Suppose  $A$  is not connected. There exist nonempty subsets  $U, V \subseteq A$  open in  $A$  such that  $V = A \setminus U$ . For every  $i \in I$ ,  $U \cap A_i$  and  $V \cap A_i$  are both open and complementary in  $A_i$ . Since  $A_i$  is connected, then  $U \cap A_i = \emptyset$  or  $V \cap A_i = \emptyset$ . Let  $I_U$  and  $I_V$  be the set of  $i \in I$  such that  $A_i \subseteq U$  and  $A_i \subseteq V$  respectively. Then,  $U = \bigcup_{i \in I_U} A_i$  and  $V = \bigcup_{i \in I_V} A_i$ . Therefore, there exist  $i, j \in I$ ,  $i \neq j$ , such that  $A_i$  and  $A_j$  are disjoint, which is a contradiction.  $\square$

**Corollary 5.5.** *Let  $X$  be a topological space, and  $A_1, \dots, A_n$  connected subspaces of  $X$  such that  $A_i \cap A_{i+1} \neq \emptyset$  if  $i \in \{1, \dots, n\}$ . Then,  $A_1 \cup \dots \cup A_n$  is connected.*

*Proof.* The proof is by induction. We suppose that  $A_1 \cup \dots \cup A_{n-1}$  is connected. As  $A_{n-1} \cap A_n \neq \emptyset$ , we deduce from Proposition 5.4 that  $A_1 \cup \dots \cup A_n$  is connected.  $\square$

**Proposition 5.6.** *Let  $X$  be a topological space,  $A$  a connected subset of  $X$ , and  $B$  a subset of  $X$  such that  $A \subseteq B \subseteq \bar{A}$ . Then  $B$  is connected.*

*Proof.* Suppose that  $B$  is the union of subsets  $U, V$  that are disjoint and open in  $B$ . There exist open sets  $U', V'$  in  $X$  such that  $U = B \cap U'$  and  $V = B \cap V'$ . The sets  $A \cap U$  and  $A \cap V$  are then open and complementary in  $A$ . Since  $A$  is connected, we have for example  $A \cap U = \emptyset$ , then  $A \cap U' = \emptyset$ , in other words  $A \subseteq X \setminus U'$ . Since  $X \setminus U'$  is closed, then  $\bar{A} \subseteq X \setminus U'$ . So  $B \cap U' = \emptyset$ , implying  $U = \emptyset$ .  $\square$

**Proposition 5.7.** *Let  $X, Y$  be topological spaces and  $f$  a continuous function from  $X$  into  $Y$ . If  $X$  is connected, then  $f(X)$  is connected.*

*Proof.* If  $f(X)$  is not connected, it has nonempty open subsets  $U, V \subseteq f(X)$  that are complementary. So  $f^{-1}(U), f^{-1}(V) \subseteq X$  are nonempty open subsets that are complementary, which is absurd.  $\square$

**Proposition 5.8.** *Consider  $\mathbb{R}$  equipped with the usual topology, and  $A \subseteq \mathbb{R}$ . The following conditions are equivalent:*

- (i)  $A$  is connected,
- (ii)  $A$  is an interval.

*Proof.* We can assume that  $A$  is nonempty and not reduced to a point.

(ii)  $\Rightarrow$  (i) : If  $A$  is open, then  $A$  is homeomorphic to  $\mathbb{R}$ , and consequently connected by Proposition 5.2. If  $A$  is an arbitrary interval, then  $A^\circ \subseteq A \subseteq \bar{A}$ , and consequently connected by Proposition 5.6.

(i)  $\Rightarrow$  (ii) : Suppose that  $A$  is not an interval. There exist  $a, b \in A$  and  $x_0 \in \mathbb{R} \setminus A$  such that  $a < x_0 < b$ . Then  $A$  is the union of the sets  $A \cap (-\infty, x_0)$  and  $A \cap (x_0, +\infty)$  which are open in  $A$ . Since  $A$  is connected,  $A \cap (x_0, +\infty)$  for example is empty. Then  $x < x_0$  for all  $x \in A$ , which contradicts  $b \in A$ .  $\square$

**Proposition 5.9.** *Let  $X$  be a connected topological space,  $f : X \rightarrow \mathbb{R}$  a continuous function, and  $a, b \in X$ . Then  $f$  takes on every value between  $f(a)$  and  $f(b)$ .*

*Proof.* The set  $f(X)$  is a connected subset of  $\mathbb{R}$  by Proposition 5.7, hence is an interval of  $\mathbb{R}$  by Proposition 5.8. This interval contains  $f(a)$  and  $f(b)$ , hence all numbers between them.  $\square$

## 5.2 Connected Components

**Proposition 5.10.** *Let  $X$  be a topological space, and  $x \in X$ . Among the connected subspaces of  $X$  containing  $x$ , there exists one that is larger than all the others.*

*Proof.* The union of all the connected subsets of  $X$  containing  $x$  is connected by Proposition 5.4, and is obviously the largest of the connected subsets of  $X$  containing  $x$ .  $\square$

**Definition 5.11.** Let  $X$  be a topological space and  $x \in X$ . The largest connected subset of  $X$  containing  $x$  is called the **connected component** of  $x$  in  $X$ .

*Example.* The topological spaces  $X = \mathbb{R} \setminus \{0\}$  and  $Y = \mathbb{R} \setminus \{0, 1\}$  are not homeomorphic, since  $X$  has the two connected components  $(-\infty, 0)$ ,  $(0, +\infty)$ , while  $Y$  has three  $(-\infty, 0)$ ,  $(0, 1)$ ,  $(1, +\infty)$ .

**Proposition 5.12.** *Let  $X$  be a topological space.*

- (i) Every connected component of  $X$  is closed in  $X$ .



(ii) *Two distinct connected components are disjoint.*

*Proof.* (i) : If  $A_x$  is the connected component of  $x$ , then  $\overline{A_x}$  is connected by Proposition 5.6. But  $A_x$  is the largest connected subset of  $X$  containing  $x$ , hence  $\overline{A_x} = A_x$ .

(ii) : Let  $A_x, A_y$  be connected components that are not disjoint. Then  $A_x \cup A_y$  is connected by Proposition 5.4. Since  $x \in A_x \cup A_y$ , then  $A_x \cup A_y \subseteq A_x$ , hence  $A_y \subseteq A_x$ . Similarly  $A_x \subseteq A_y$ , therefore  $A_x = A_y$ .  $\square$

**Proposition 5.13.** *Let  $X$  be a topological space. If every point of  $X$  has a connected neighborhood, the connected components of  $X$  are open.*

*Proof.* Let  $C$  be a connected component of  $X$ ,  $x \in C$ , and  $V$  a connected neighborhood of  $x$ . Since  $x \in C \cap V$ , the union  $C \cup V$  is then connected, and  $C \cup V \subseteq C$ . Hence  $V \subseteq C$ , and  $C$  is a neighborhood of  $x$ . We deduce from Proposition 1.9 that  $C$  is open.  $\square$

### 5.3 Locally Connected Spaces

**Definition 5.14.** A topological space  $X$  is said to be **locally connected** at its point  $x$  if  $x$  has a fundamental system of connected neighborhoods. If  $X$  is locally connected at each of its points, it is said to be locally connected.

*Example.* The topological space  $\mathbb{R} \setminus \{0\}$  is not connected, but it is locally connected.

**Proposition 5.15.** *Let  $X$  be a topological space. The following conditions are equivalent:*

(i)  *$X$  is locally connected,*

(ii) *for every open set  $V$  of  $X$ , each connected component of  $V$  is open in  $X$ .*

*Proof.* (i)  $\Rightarrow$  (ii) : Let  $C$  be a connected component of an open set  $V$  in  $X$ , and  $x \in C$ . We can choose a connected neighborhood  $U$  of  $x$  such that  $U \subseteq V$ . Since  $U$  is connected, it must lie entirely in  $C$ . We deduce from Proposition 1.9 that  $C$  is open.

(ii)  $\Rightarrow$  (i) : Given  $x \in X$ , a neighborhood  $V$  of  $x$  in  $X$ , and open set  $U$  such that  $x \in U$  and  $U \subseteq V$ . Let  $C$  be the connected component of  $U$  containing  $x$ . Since  $C$  is connected and open in  $X$ , then it is a connected neighborhood of  $x$  contained in  $V$ .  $\square$

### 5.4 Path Connected Spaces

**Definition 5.16.** Let  $X$  be a topological space and  $a, b \in X$ . A continuous map  $f$  from  $[0, 1]$  into  $X$  such that  $f(0) = a$  and  $f(1) = b$  is called a **path** in  $X$  with **origin**  $a$  and **extremity**  $b$ . If any two points of  $X$  are the origin and extremity of a path in  $X$ ,  $X$  is said to be **path connected**.

*Example.* The open unit  $n$ -ball  $\mathbb{B}^n := \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid x_1^2 + \dots + x_n^2 < 1\}$  is path connected. Indeed, any points  $x, y \in \mathbb{B}^n$  can be connected by the straight-line path  $f : [0, 1] \rightarrow \mathbb{B}^n$  defined by

$$f(t) = (1-t)x + ty.$$

**Proposition 5.17.** *Let  $X$  be an path connected topological space. Then  $X$  is connected.*

*Proof.* Take a point  $x_0 \in X$ . For every  $x \in X$ , let  $f_x : [0, 1] \rightarrow X$  be a path with origin  $x_0$  and extremity  $x$ . Since  $[0, 1]$  is connected by Proposition 5.8, then  $f_x([0, 1])$  is connected by Proposition 5.7. Therefore  $X = \bigcup_{x \in X} f_x([0, 1])$  is connected by Proposition 5.4, as  $x_0$  belongs to all of the  $f_x([0, 1])$ .  $\square$

**Proposition 5.18.** *Let  $X$  be a topological space, and  $A, B \subseteq X$ . If  $A, B$  are path connected such that  $A \cap B \neq \emptyset$ , then  $A \cup B$  is path connected.*

*Proof.* Let  $x \in A$ ,  $y \in B$ , and pick  $z \in A \cap B$ . Choose paths  $f : [0, 1] \rightarrow A$ ,  $g : [0, 1] \rightarrow B$  such that  $f(0) = x$ ,  $f(1) = z$ , and  $g(0) = z$ ,  $g(1) = y$ . We obtain a path  $h : [0, 1] \rightarrow A \cup B$  from  $x$  to  $y$  as follows:

$$h(t) = \begin{cases} f(2t) & \text{if } t \in [0, \frac{1}{2}], \\ g(2t - 1) & \text{if } t \in [\frac{1}{2}, 1]. \end{cases}$$

$\square$

**Proposition 5.19.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. If  $X$  is path connected, then  $f(X)$  is path connected.*

*Proof.* If  $y_1, y_2 \in f(X)$ , there exist  $x_1, x_2 \in X$  such that  $f(x_1) = y_1$  and  $f(x_2) = y_2$ . As  $X$  is path connected, there exists a path  $h : [0, 1] \rightarrow X$  from  $x_1$  to  $x_2$ . Hence  $f \circ h : [0, 1] \rightarrow Y$  is a path from  $y_1$  to  $y_2$ .  $\square$

## 5.5 Locally Path-Connected Spaces

**Definition 5.20.** A topological space  $X$  is said to be **locally path connected** at its point  $x$  if  $x$  has a fundamental system of path-connected neighborhoods. If  $X$  is locally path connected at each of its points, it is said to be locally path connected.

**Definition 5.21.** Let  $X$  be a topological space and  $x \in X$ . The **path component** of  $x$  in  $X$  is the set formed by the points  $y \in X$  such that a path with origin  $x$  and extremity  $y$  in  $X$  exists.

**Proposition 5.22.** *Let  $X$  be a topological space. The following conditions are equivalent:*

- (i)  $X$  is locally path connected,
- (ii) for every open set  $V$  of  $X$ , each path component of  $V$  is open in  $X$ .

*Proof.* (i)  $\Rightarrow$  (ii) : Let  $C$  be a path component of an open set  $V$  in  $X$ , and  $x \in C$ . We can choose a path-connected neighborhood  $U$  of  $x$  such that  $U \subseteq V$ . Since  $U$  is path connected, it must lie entirely in  $C$ . We deduce from Proposition 1.9 that  $C$  is open.

(ii)  $\Rightarrow$  (i) : Given  $x \in X$ , a neighborhood  $V$  of  $x$  in  $X$ , and open set  $U$  such that  $x \in U$  and  $U \subseteq V$ . Let  $C$  be the path component of  $U$  containing  $x$ . Since  $C$  is path connected and open in  $X$ , then it is a path-connected neighborhood of  $x$  contained in  $V$ .  $\square$

# Chapter 6

## Metric Spaces

### 6.1 Metric Spaces

**Definition 6.1.** A **metric** on a set  $X$  is a function  $d : X \times X \rightarrow \mathbb{R}_+$  satisfying the following conditions:

- (i)  $d(x, y) = 0$  if and only if  $x = y$ ,
- (ii)  $d(x, y) = d(y, x)$  for all  $x, y \in X$ ,
- (iii)  $d(x, z) \leq d(x, y) + d(y, z)$  for all  $x, y, z \in X$ .

A set equipped with a metric is called a **metric space**.

*Example.* Let  $x = (x_1, \dots, x_n) \in \mathbb{R}^n$ ,  $y = (y_1, \dots, y_n) \in \mathbb{R}^n$ , and set  $d(x, y) = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$ . It is known that  $d$  is a metric on  $\mathbb{R}^n$ , and in this way  $\mathbb{R}^n$  becomes a metric space.

**Definition 6.2.** Let  $X$  be a set equipped with a metric  $d$ , and  $Y \subseteq X$ . Then  $Y$  becomes a metric space with the restriction of  $d$  to  $Y \times Y$ , and is called a **metric subspace** of  $X$ .

**Definition 6.3.** Let  $X$  be a metric space with metric  $d$ , take  $a \in X$ , and  $\rho \in \mathbb{R}_+^*$ . The set  $B(a, \rho) := \{x \in X \mid d(a, x) < \rho\}$  is called an **open ball** with center  $a$  and radius  $\rho$ . A subset  $A \subseteq X$  is said to be **open** if, for each  $x_0 \in A$ , there exists  $\varepsilon \in \mathbb{R}_+^*$  such that  $B(x_0, \varepsilon) \subseteq A$ .

**Definition 6.4.** Let  $X$  be a metric space, and  $A \subseteq X$ . One says that  $A$  is **closed** if  $X \setminus A$  is open.

**Proposition 6.5.** Every metric space  $X$  is a topological space, and the topology of  $X$  is formed by the open sets of  $X$ .

*Proof.* Let  $X$  be a metric space. The subsets  $\emptyset$  and  $X$  of  $X$  are clearly open.

Take a family  $\{A_i\}_{i \in I}$  of open subsets of  $X$ . Let  $A = \bigcup_{i \in I} A_i$ , and  $x_0 \in A$ . There exists  $i \in I$  such that  $x_0 \in A_i$ . Hence, there exists  $\varepsilon \in \mathbb{R}_+^*$  such that  $B(x_0, \varepsilon) \subseteq A_i \subseteq A$ . Thus  $A$  is open.

Suppose now that  $I$  is finite. Let  $C = \bigcap_{i \in I} A_i$ , and  $x_0 \in C$ . For every  $i \in I$ , there exists  $\varepsilon_i \in \mathbb{R}_+^*$  such that  $B(x_0, \varepsilon_i) \subseteq A_i$ . If  $\varepsilon \in \inf\{\varepsilon_i\}_{i \in I}$ , then  $B(x_0, \varepsilon) \subseteq A_i$  for every  $i \in I$ . Hence  $B(x_0, \varepsilon) \subseteq C$ , and  $C$  is consequently open.  $\square$

**Proposition 6.6.** *Let  $X$  be a set, and  $d, d'$  metrics on  $X$ . Suppose there exist  $c, c' \in \mathbb{R}_+^*$  such that*

$$cd(x, y) \leq d'(x, y) \leq c'd(x, y)$$

*for all  $x, y \in X$ . The open subsets of  $X$  are the same for  $d$  and  $d'$ .*

*Proof.* Let  $A$  be a subset of  $X$  that is open for  $d$ , and  $x_0 \in A$ . There exists  $\varepsilon \in \mathbb{R}_+^*$  such that  $\{x \in X \mid d(x_0, x) < \varepsilon\} \subseteq A$ . If  $x \in X$  satisfies  $d'(x_0, x) < c\varepsilon$ , then  $d(x_0, x) < \varepsilon$ , so  $x \in A$ . Hence  $A$  is also open for  $d'$ . On the other side, one proves that if  $A$  is open for  $d'$ , then  $A$  is open for  $d$  by interchanging the roles of  $d$  and  $d'$ .  $\square$

## 6.2 Continuity of the Metric

**Proposition 6.7.** *Let  $X$  be a metric space. Its metric  $d : X \times X \rightarrow \mathbb{R}_+$  is continuous.*

*Proof.* Let  $(x_0, y_0) \in X \times X$ , and take  $\varepsilon \in \mathbb{R}_+^*$ . The set  $B(x_0, \frac{\varepsilon}{2}) \times B(y_0, \frac{\varepsilon}{2})$  is a neighborhood of  $(x_0, y_0)$  in  $X \times X$ . If  $(x, y) \in B(x_0, \frac{\varepsilon}{2}) \times B(y_0, \frac{\varepsilon}{2})$ , then

$$d(x, y) \leq d(x, x_0) + d(x_0, y_0) + d(y_0, y) < \frac{\varepsilon}{2} + d(x_0, y_0) + \frac{\varepsilon}{2} = d(x_0, y_0) + \varepsilon,$$

$$d(x_0, y_0) \leq d(x_0, x) + d(x, y) + d(y, y_0) < \frac{\varepsilon}{2} + d(x, y) + \frac{\varepsilon}{2} = d(x, y) + \varepsilon,$$

therefore  $|d(x, y) - d(x_0, y_0)| < \varepsilon$ . So  $d$  is continuous at  $(x_0, y_0)$ .  $\square$

**Definition 6.8.** Let  $X$  be a metric space, and  $A$  a nonempty subset of  $X$ . One calls **diameter** of  $A$  the number  $\text{diam}(A) := \sup \{d(x, y) \mid x, y \in A\}$ .

**Lemma 6.9.** *Consider  $\mathbb{R}$  with the usual topology, and let  $A$  be a nonempty subset of  $\mathbb{R}$ . Suppose that  $A$  is bounded above, and  $x$  its supremum. Then  $x$  is the largest element of  $\bar{A}$ .*

*Proof.* Let  $V$  be a neighborhood of  $x$  in  $\mathbb{R}$ , and  $\varepsilon \in \mathbb{R}_+^*$  such that  $(x - \varepsilon, x + \varepsilon) \subseteq V$ . By definition of the supremum, there exists  $y \in A$  such that  $x - \varepsilon < y \leq x$ . Then  $y \in V$ , meaning that  $V \cap A \neq \emptyset$ , thus  $x$  is adherent to  $A$ .

Let  $x' \in \bar{A}$  such that  $x' > x$ , and set  $\varepsilon = x' - x > 0$ . Then  $(x' - \varepsilon, x' + \varepsilon)$  is a neighborhood of  $x'$ , therefore intersects  $A$ . Let  $y \in (x' - \varepsilon, x' + \varepsilon) \cap A$ . Since  $y > x' - \varepsilon = x$ ,  $x$  is then not an upper bound for  $A$ , which is absurd. So,  $x$  is the largest element of  $\bar{A}$ .  $\square$

**Proposition 6.10.** *Let  $X$  be a metric space, and  $A \subseteq X$ . The sets  $A$  and  $\bar{A}$  have the same diameter.*

*Proof.* Denote  $d$  the metric of  $X$ . Let  $D = \{d(x, y) \mid x, y \in A\}$  and  $D' = \{d(x, y) \mid x, y \in \bar{A}\}$ . We obviously have  $D \subseteq D'$ . One deduce from Proposition 3.11 that every point of  $\bar{A} \times \bar{A}$  is adherent to  $A \times A$ . So  $D' = d(\bar{A} \times \bar{A}) \subseteq d(\bar{A} \times \bar{A})$ , and  $d(\bar{A} \times \bar{A}) \subseteq \overline{d(A \times A)} = \bar{D}$  by Proposition 2.14 and Proposition 6.7. Then  $D' \subseteq \bar{D}$ , and consequently  $\bar{D} = \overline{D'}$ . If  $D$  is bounded, we then deduce from Lemma 6.9 that the diameter of  $A$  and  $\bar{A}$  is the largest element of  $\bar{D}$ . If  $D$  is unbounded, then  $D$  and  $D'$  have the same supremum  $+\infty$ .  $\square$

**Definition 6.11.** Let  $X$  be a metric space with metric  $d$ , and  $A, B$  two nonempty subsets of  $X$ . The **distance** from  $A$  to  $B$  the number  $d(A, B) := \inf \{d(x, y) \mid x \in A, y \in B\}$ . It is clear that  $d(A, B)$  and  $d(B, A)$  are equal. If  $z \in X$ , we define  $d(z, A) := \inf \{d(z, x) \mid x \in A\}$ .

### 6.3 Sequences in Metric Spaces

**Proposition 6.12.** *Let  $X$  be a metric space,  $x \in X$ , and  $A \subseteq X$ . The following conditions are equivalent:*

- (i)  $x \in \bar{A}$ ,
- (ii) *there is a sequence  $(x_n)_{n \in \mathbb{N}}$  of points in  $A$  that tends to  $x$ .*

*Proof.* (ii)  $\Rightarrow$  (i) : Since every neighborhood of  $x$  intersects  $\{x_n\}_{n \in \mathbb{N}}$ , then every neighborhood of  $x$  intersects  $A$  which means that  $x \in \bar{A}$ .

(i)  $\Rightarrow$  (ii) : For every  $n \in \mathbb{N}$ , there exists a point  $x_n \in A \cap B(x, \frac{1}{n})$ . Then  $(x_n)_{n \in \mathbb{N}}$  tends to  $x$ .  $\square$

**Proposition 6.13.** *Let  $X$  be a metric space,  $(x_n)_{n \in \mathbb{N}}$  a sequence of points in  $X$ , and  $x \in X$ . The following conditions are equivalent:*

- (i)  $x$  is an adherence value of  $(x_n)_{n \in \mathbb{N}}$  along the filter base  $\{\{n, n+1, \dots\}\}_{n \in \mathbb{N}}$ ,
- (ii) *there exists an infinite subset  $\{x_{n_k}\}_{k \in \mathbb{N}}$  of  $\mathbb{N}$ , with  $n_k < n_{k+1}$ , such that  $(x_{n_k})_{k \in \mathbb{N}}$  tends to  $x$  along the filter base  $\{\{n_k, n_{k+1}, \dots\}\}_{k \in \mathbb{N}}$ .*

*Proof.* (ii)  $\Rightarrow$  (i) : The point  $x$  is then an adherence value of  $(x_{n_k})_{k \in \mathbb{N}}$ , and consequently of  $(x_n)_{n \in \mathbb{N}}$ .

(i)  $\Rightarrow$  (ii) : If  $d$  is the metric of  $X$ , there exist  $n_1 \in \mathbb{N}$  such that  $d(x_{n_1}, x) < 1$ ,  $n_2 \in \mathbb{N}$  such that  $n_2 > n_1$  and  $d(x_{n_2}, x) < \frac{1}{2}$ ,  $n_3 \in \mathbb{N}$  such that  $n_3 > n_2$  and  $d(x_{n_3}, x) < \frac{1}{3}$ , and so on. So, the sequence  $(x_{n_k})_{k \in \mathbb{N}}$  tends to  $x$  along  $\{\{n_k, n_{k+1}, \dots\}\}_{k \in \mathbb{N}}$ .  $\square$

**Proposition 6.14.** *Let  $X, Y$  be metric spaces,  $A \subseteq X$ ,  $f : A \rightarrow Y$  a function,  $a \in \bar{A}$ , and  $y \in Y$ . The following conditions are equivalent:*

- (i) *the point  $y$  is an adherence value of  $f$  along the filter  $\{A \cap V\}_{V \in \mathcal{V}}$ , where  $\mathcal{V}$  is a fundamental system of neighborhoods of  $a$ ,*
- (ii) *there exists a sequence  $(x_n)_{n \in \mathbb{N}}$  in  $A$  such that  $(x_n)_{n \in \mathbb{N}}$  tends to  $a$  and  $(f(x_n))_{n \in \mathbb{N}}$  tends to  $y$ .*

*Proof.* (ii)  $\Rightarrow$  (i) : On one side, if  $V \in \mathcal{V}$ , there exists  $i \in \mathbb{N}$  such that  $x_n \in A \cap V$  if  $n \geq i$ . On the other side, if  $W$  is a neighborhood of  $y$ , there exists  $j \in \mathbb{N}$  such that  $f(x_n) \in W$  if  $n \geq j$ . Then,  $f(x_n) \in f(A \cap V) \cap W$  if  $n \geq \max\{i, j\}$ .

(i)  $\Rightarrow$  (ii) : Denote by  $B_X(a, \rho)$  and  $B_Y(y, \rho')$  the open balls of centers and radius  $a, y$  and  $\rho, \rho'$  respectively. Take a point  $x_1 \in B_X(a, 1) \cap A$  such that  $f(x_1) \in B_Y(y, 1)$ , take a point  $x_2 \in B_X(a, \frac{1}{2}) \cap A$  such that  $f(x_2) \in B_Y(y, \frac{1}{2})$ , take a point  $x_3 \in B_X(a, \frac{1}{3}) \cap A$  such that  $f(x_3) \in B_Y(y, \frac{1}{3})$ , and so on. Hence, the sequence  $(x_n)_{n \in \mathbb{N}}$  tends to  $a$ , and  $(f(x_n))_{n \in \mathbb{N}}$  tends to  $y$ .  $\square$

**Proposition 6.15.** *Let  $X, Y$  be metric spaces,  $f : X \rightarrow Y$  a function, and  $x \in X$ . The following conditions are equivalent:*

- (i)  $f$  is continuous at  $x$ ,
- (ii) *for every sequence  $(x_n)_{n \in \mathbb{N}}$  in  $X$  that tends to  $x$ , the sequence  $(f(x_n))_{n \in \mathbb{N}}$  tends to  $f(x)$ .*

*Proof.* (i)  $\Rightarrow$  (ii) : Consider the filter base  $\{\{x_n, x_{n+1}, \dots\}\}_{n \in \mathbb{N}}$  and a neighborhood  $V$  of  $f(x)$  in  $Y$ . There exists a neighborhood  $U$  of  $x$  in  $X$  such that  $f(U) \subseteq V$ . And there exists  $k \in \mathbb{N}$  such that  $\{x_k, x_{k+1}, \dots\} \subseteq U$ . Then,  $\{f(x_k), f(x_{k+1}), \dots\} \subseteq V$ .

(ii)  $\Rightarrow$  (i) : Let  $d_X$  and  $d_Y$  be the metrics of  $X$  and  $Y$  respectively, and suppose that  $f$  is not continuous at  $x$ . There exists  $\varepsilon \in \mathbb{R}_+^*$  such that, for any  $\eta \in \mathbb{R}_+^*$ , there is  $y \in X$  with  $d_X(x, y) < \eta$  yet  $d_Y(f(x), f(y)) > \varepsilon$ . If we successively take  $\eta = 1, \frac{1}{2}, \frac{1}{3}, \dots$ , we obtain points  $y_1, y_2, y_3, \dots$  of  $X$  such that  $d_X(x, y_n) < \frac{1}{n}$  and  $d_Y(f(x), f(y_n)) > \varepsilon$  for  $n \in \mathbb{N}$ . Then  $(y_n)_{n \in \mathbb{N}}$  tends to  $x$ , but  $(f(y_n))_{n \in \mathbb{N}}$  does not tend to  $f(x)$ .  $\square$

## 6.4 Complete Metric Spaces

**Definition 6.16.** Let  $X$  be a metric space with metric  $d$ . A sequence  $(x_n)_{n \in \mathbb{N}}$  of points in  $X$  is called a **Cauchy sequence** if, for every  $\varepsilon \in \mathbb{R}_+^*$ , there exists  $p \in \mathbb{N}$  such that  $m, n \geq p$  implies  $d(x_m, x_n) < \varepsilon$ .

**Proposition 6.17.** Let  $X$  be a metric space with metric  $d$ . If a sequence  $(x_n)_{n \in \mathbb{N}}$  of points in  $X$  has a limit in  $X$ , then it is a Cauchy sequence.

*Proof.* Suppose that  $(x_n)_{n \in \mathbb{N}}$  tends to  $x$ . For every  $\varepsilon \in \mathbb{R}_+^*$ , there exists a positive integer  $p$  such that  $n \geq p$  implies  $d(x_n, x) < \frac{\varepsilon}{2}$ . Then, if  $m, n$  are positive integers bigger than  $p$ , we have  $d(x_m, x) < \frac{\varepsilon}{2}$  and  $d(x_n, x) < \frac{\varepsilon}{2}$ , which implies  $d(x_m, x_n) \leq d(x_m, x) + d(x_n, x) < \varepsilon$ .  $\square$

**Definition 6.18.** A metric space  $X$  is said to be **complete** if every Cauchy sequence of points in  $X$  has a limit in  $X$ .

**Proposition 6.19.** Let  $X$  be a metric space,  $(x_n)_{n \in \mathbb{N}}$  a Cauchy sequence in  $X$ , and  $(x_{n_k})_{k \in \mathbb{N}}$  a subsequence of  $(x_n)_{n \in \mathbb{N}}$ . If the sequence  $(x_{n_k})_{k \in \mathbb{N}}$  has a limit  $l$ , then  $(x_n)_{n \in \mathbb{N}}$  also tends to  $l$ .

*Proof.* For every  $\varepsilon \in \mathbb{R}_+^*$ , there exists a positive integer  $p$  such that, if  $m, n$  are positive integers bigger than  $p$ , then  $d(x_m, x_n) < \frac{\varepsilon}{2}$ . Fix a positive integer  $n$  bigger than  $p$ . Since  $(x_{n_k})_{k \in \mathbb{N}}$  tends to  $l$ , then  $(d(x_{n_k}, x_n))_{k \in \mathbb{N}}$  tends to  $d(l, x_n)$ , so  $d(l, x_n) \leq \frac{\varepsilon}{2} < \varepsilon$ . As this is true for all positive integers  $n \geq p$ , then  $(x_n)_{n \in \mathbb{N}}$  also tends to  $l$ .  $\square$

**Proposition 6.20.** Let  $X$  be a complete metric space, and  $Y$  a closed subspace of  $X$ . Then  $Y$  is complete.

*Proof.* Let  $(x_n)_{n \in \mathbb{N}}$  be a Cauchy sequence in  $Y$ . It is also a Cauchy sequence in  $X$ , hence has a limit  $l$  in  $X$ . We deduce from Proposition 6.12 that  $l \in \bar{Y}$ . But  $\bar{Y} = Y$ , thus  $(x_n)_{n \in \mathbb{N}}$  has a limit in  $Y$ .  $\square$

**Proposition 6.21.** Let  $X$  be a metric space, and  $Y$  a complete metric subspace of  $X$ . Then  $Y$  is closed in  $X$ .

*Proof.* Take  $l \in \bar{Y}$ . We know from Proposition 6.12 that there exists a sequence  $(x_n)_{n \in \mathbb{N}}$  in  $Y$  that tends to  $l$ . So, we deduce Proposition 6.17 that  $(x_n)_{n \in \mathbb{N}}$  is a Cauchy sequence. It thus has a limit in  $Y$  since  $Y$  is complete. As  $l$  is its limit, we must have  $l \in Y$ , therefore  $\bar{Y} = Y$ .  $\square$

**Part II**

**Algebraic Topology**





# Chapter 7

## Fundamental Groups

### 7.1 Homotopy of Paths

**Definition 7.1.** Let  $X$  be a topological space, and  $f, g$  two paths in  $X$ . These paths are said to be **path homotopic** if they have the same origin  $a$ , the same extremity  $b$ , and if there is a continuous function  $F : [0, 1] \times [0, 1] \rightarrow X$  such that, if  $s, t \in [0, 1]$ ,

$$\begin{aligned} F(s, 0) &= f(s) & \text{and} & & F(s, 1) &= g(s), \\ F(0, t) &= a & \text{and} & & F(1, t) &= b. \end{aligned}$$

In that case, one writes  $f \simeq_p g$ . The function  $F$  is called a **path homotopy** between  $f$  and  $g$ .

*Example.* Let  $f, g$  be paths in  $\mathbb{R}^n$ . The function  $F : [0, 1] \times [0, 1] \rightarrow \mathbb{R}^n$  defined by

$$F(x, t) = (1 - t)f(x) + tg(x)$$

is a path homotopy between  $f$  and  $g$ .

**Proposition 7.2.** *The relation  $\simeq_p$  on paths in a topological space  $X$  with fixed origins and extremities is an equivalence relation.*

*Proof.* Given a path  $f$ , the function  $F(x, t) = f(x)$  is the required path homotopy to get  $f \simeq_p f$ .

If  $f \simeq_p g$  is established by a path homotopy  $F(x, t)$ , then  $G(x, t) = F(x, 1 - t)$  is a path homotopy between  $g$  and  $f$ .

Suppose that  $f \simeq_p g$  by means of a path homotopy  $F$ , and  $g \simeq_p h$  by means of a path homotopy  $G$ , then  $f \simeq_p h$  by means of the path homotopy  $H : [0, 1] \times [0, 1] \rightarrow X$  defined by the equation

$$H(x, t) = \begin{cases} F(x, 2t) & \text{if } t \in [0, \frac{1}{2}], \\ G(x, 2t - 1) & \text{if } t \in [\frac{1}{2}, 1]. \end{cases}$$

□

If  $f$  is a path, denote its path-homotopy equivalence class by  $[f]$ .

**Definition 7.3.** Let  $X$  be a topological space,  $f$  a path in  $X$  from  $a$  to  $b$ , and  $g$  a path in  $X$  from  $b$  to  $c$ . Define the product  $f * g$  of  $f$  and  $g$  to be the path  $h$  in  $X$  given by the equation

$$h(s) = \begin{cases} f(2s) & \text{for } s \in [0, \frac{1}{2}], \\ g(2s - 1) & \text{for } s \in [\frac{1}{2}, 1]. \end{cases}$$

The product operation of Definition 7.3 extends to an operation on path-homotopy classes defined by

$$[f] * [g] := [f * g].$$

**Lemma 7.4.** *Let  $X, Y$  be a topological space,  $k : X \rightarrow Y$  a continuous function, and  $F$  is a path homotopy between two paths  $f, f'$  in  $X$ .*

(i) *Then  $k \circ F$  is a path homotopy in  $Y$  between  $k \circ f$  and  $k \circ f'$ .*

(ii) *Moreover, if  $g$  is a path in  $X$  with  $f(1) = g(0)$ , then  $k \circ (f * g) = (k \circ f) * (k \circ g)$ .*

*Proof.* (i) : The function  $k \circ F : [0, 1] \times [0, 1] \rightarrow Y$  is continuous such that, if  $s, t \in [0, 1]$ ,

$$\begin{aligned} k \circ F(s, 0) &= k \circ f(s) \quad \text{and} \quad k \circ F(s, 1) = k \circ f'(s), \\ k \circ F(0, t) &= k \circ f(0) = k \circ f'(0) \quad \text{and} \quad k \circ F(1, t) = k \circ f(1) = k \circ f'(1). \end{aligned}$$

(ii) : We have

$$k \circ (f * g)(t) = k \circ \begin{cases} f(2t) & \text{for } t \in [0, \frac{1}{2}] \\ g(2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases} = \begin{cases} k \circ f(2t) & \text{for } t \in [0, \frac{1}{2}] \\ k \circ g(2t - 1) & \text{for } t \in [\frac{1}{2}, 1] \end{cases} = (k \circ f) * (k \circ g)(t).$$

□

For  $x \in X$ , let  $e_x$  denote the constant path carrying all of  $[0, 1]$  to the point  $x$ . Given a path  $f$  in  $X$  from  $a$  to  $b$ , denote the reverse of  $f$  by  $\bar{f}$ . It is the path from  $b$  to  $a$  defined for  $s \in [0, 1]$  by  $\bar{f}(s) := f(1 - s)$ .

**Proposition 7.5.** *The operation  $*$  on path-homotopy classes in a topological space  $X$  has the following properties:*

(i) *If  $[f] * ([g] * [h])$  is defined, so is  $([f] * [g]) * [h]$ , and they are equal.*

(ii) *If  $f$  is a path in  $X$  from  $a$  to  $b$ , then*

$$[f] * [e_b] = [f] \quad \text{and} \quad [e_a] * [f] = [f].$$

(iii) *If  $f$  is a path in  $X$  from  $a$  to  $b$ , then*

$$[f] * [\bar{f}] = [e_a] \quad \text{and} \quad [\bar{f}] * [f] = [e_b].$$

*Proof.* (ii) : If  $e_0$  is the constant path at 0, and  $i : [0, 1] \rightarrow [0, 1]$  the identity map, then  $e_0 * i$  is a path from 0 to 1. Since  $i$  and  $e_0 * i$  are paths in  $\mathbb{R}$ , there is a path homotopy  $F$  between them. Then  $f \circ F$  is a path homotopy in  $X$  between the paths  $f \circ i = f$  and  $f \circ (e_0 * i) = (f \circ e_0) * (f \circ i) = e_a * f$ . Similarly, using the fact that  $i * e_1$  and  $i$  are path homotopic in  $[0, 1]$ , one shows that  $[f] * [e_b] = [f]$ .

(iii) : The path  $i * \bar{i}$ , that begins and ends at 0, is path homotopic to the constant path  $e_0$  as paths in  $\mathbb{R}$  once again. Denoting  $F$  a path homotopy between them, we get from Lemma 7.4 that  $f \circ F$  is a path homotopy between  $f \circ e_0 = e_a$  and  $(f \circ i) * (f \circ \bar{i}) = f * \bar{f}$ . With a similar argument, using the fact that  $\bar{i} * i$  and  $e_1$  are path homotopic in  $[0, 1]$ , one shows that  $[\bar{f}] * [f] = [e_b]$ .

(i) : We have

$$f * (g * h)(t) = \begin{cases} f(2t) & \text{for } t \in [0, \frac{1}{2}], \\ g * h(2t - 1) & \text{for } t \in [\frac{1}{2}, 1], \end{cases} = \begin{cases} f(2t) & \text{for } t \in [0, \frac{1}{2}], \\ g(2(2t - 1)) & \text{for } t \in [\frac{1}{2}, \frac{3}{4}], \\ h(2(2t - 1) - 1) & \text{for } t \in [\frac{3}{4}, 1], \end{cases}$$

$$\text{and } (f * g) * h(t) = \begin{cases} f * g(2t) & \text{for } t \in [0, \frac{1}{2}], \\ h(2t - 1) & \text{for } t \in [\frac{1}{2}, 1], \end{cases} = \begin{cases} f(4t) & \text{for } t \in [0, \frac{1}{4}], \\ g(4t - 1) & \text{for } t \in [\frac{1}{4}, \frac{1}{2}], \\ h(2t - 1) & \text{for } t \in [\frac{1}{2}, 1]. \end{cases}$$

Then  $(f * (g * h)) \circ \alpha = (f * g) * h$  with  $\alpha : [0, 1] \rightarrow [0, 1]$  defined by  $\alpha(s) = \begin{cases} 2s & \text{for } s \in [0, \frac{1}{4}] \\ s + \frac{1}{4} & \text{for } s \in [\frac{1}{4}, \frac{1}{2}] \\ \frac{s}{2} + \frac{1}{2} & \text{for } s \in [\frac{1}{2}, 1] \end{cases}$ .

As  $\alpha$  and  $i$  are paths in  $\mathbb{R}$ , we get by Lemma 7.4 that  $(f * (g * h)) \circ \alpha \simeq_p ((f * g) * h) \circ i = (f * g) * h$ .  $\square$

## 7.2 Fundamental Groups

**Definition 7.6.** Let  $X$  be a topological space, and  $a \in X$ . A path in  $X$  that starts and ends at  $a$  is called a **loop** at the **basepoint**  $a$ . The set of all homotopy classes  $[f]$  of loops  $f : [0, 1] \rightarrow X$  at the basepoint  $a$  is denoted  $\pi_1(X, a)$ .

**Proposition 7.7.** Let  $X$  be a topological space, and  $a \in X$ . The set  $\pi_1(X, a)$  is a group with respect to the product  $*$ .

*Proof.* By restricting to loops  $f, g$  with a fixed basepoint, we guarantee that the product  $f * g$  or more exactly the product  $[f] * [g] = [f * g]$  is defined. It remains to verify the three axioms for a group:

- From Proposition 7.5 (i), for all  $[f], [g], [h] \in \pi_1(X, a)$ ,  $[f] * ([g] * [h]) = ([f] * [g]) * [h]$ .
- From Proposition 7.5 (ii), for every  $[f] \in \pi_1(X, a)$ ,  $[f] * [e_a] = [f]$  and  $[e_a] * [f] = [f]$ .
- From Proposition 7.5 (iii), for every  $[f] \in \pi_1(X, a)$ ,  $[f] * [\bar{f}] = [e_a]$  and  $[\bar{f}] * [f] = [e_a]$ .

$\square$

**Definition 7.8.** Let  $X$  be a topological space, and  $a \in X$ . The group  $\pi_1(X, a)$  is called the **fundamental group** of  $X$  at the basepoint  $a$ .

*Example.* For a convex set  $X$  in  $\mathbb{R}^n$  with basepoint  $a \in X$ ,  $\pi_1(X, a)$  is the trivial one-element group. Indeed the function  $F : [0, 1] \times [0, 1] \rightarrow \mathbb{R}^n$  defined by

$$F(x, t) = (1 - t)f(x) + tg(x)$$

is a path homotopy between any loops  $f, g$  based at  $a$ .

**Definition 7.9.** A topological space  $X$  is said to be **simply connected** if it is a path connected space and if  $\pi_1(X, a)$  is the trivial one-element group for every  $a \in X$ .

**Proposition 7.10.** Let  $X$  be a simply connected topological space. Then, any paths in  $X$  having the same origin and extremity are path homotopic.

*Proof.* Let  $f, g$  be paths in  $X$  from  $a$  to  $b$ . Then  $f * \bar{g}$  is defined and is a loop on  $X$  based at  $a$ . Since  $X$  is simply connected,  $f * \bar{g}$  is path homotopic to  $e_a$ . Using Proposition 7.5, we get

$$[f] = [f] * [e_b] = [f] * [\bar{g} * g] = [f * \bar{g}] * [g] = [e_a] * [g] = [g].$$

$\square$

**Proposition 7.11.** *Let  $X$  be a topological space,  $a, b \in X$ , and  $f$  a path from  $a$  to  $b$ . Define the map  $\hat{f} : \pi_1(X, a) \rightarrow \pi_1(X, b)$  by*

$$\hat{f}([h]) := [\bar{f}] * [h] * [f].$$

*Then the map  $\hat{f}$  is a group isomorphism.*

*Proof.* Let  $[g], [h] \in \pi_1(X, a)$ . We have

$$\begin{aligned} \hat{f}([g]) * \hat{f}([h]) &= ([\bar{f}] * [g] * [f]) * ([\bar{f}] * [h] * [f]) \\ &= [\bar{f}] * [g] * [h] * [f] \\ &= \hat{f}([g] * [h]). \end{aligned}$$

Then,  $\hat{f}$  is a homomorphism. To prove that  $\hat{f}$  is an isomorphism, we show that  $\widehat{f} : \pi_1(X, b) \rightarrow \pi_1(X, a)$  defined for every  $[h] \in \pi_1(X, b)$  by

$$\widehat{f}([h]) := [f] * [h] * [\bar{f}]$$

is an inverse for  $\hat{f}$ . We have  $\widehat{f} \circ \hat{f}([h]) = [f] * ([\bar{f}] * [h] * [f]) * [\bar{f}] = [h]$ . A similar computation shows that  $\hat{f} \circ \widehat{f}([h]) = [h]$ .  $\square$

Suppose that  $h : X \rightarrow Y$  is a continuous function that carries the point  $a$  of  $X$  to the point  $b$  of  $Y$ . One denotes this fact by writing  $h : (X, a) \rightarrow (Y, b)$ .

**Definition 7.12.** Let  $X, Y$  be topological spaces, and  $h : (X, a) \rightarrow (Y, b)$  a continuous function. Define  $h_* : \pi_1(X, a) \rightarrow \pi_1(Y, b)$  by

$$h_*([f]) := [h \circ f].$$

The map  $h_*$  is called the **homomorphism induced** by  $h$  relative to the basepoint  $a$ .

**Proposition 7.13.** *Let  $X, Y, Z$  be topological spaces.*

- (i) *If  $h : (X, a) \rightarrow (Y, b)$  and  $k : (Y, b) \rightarrow (Z, c)$  are continuous maps, then  $(k \circ h)_* = k_* \circ h_*$ .*
- (ii) *If  $i : (X, a) \rightarrow (X, a)$  is the identity map, then  $i_*$  is the identity homomorphism.*

*Proof.* (i) : We have both equalities

$$\begin{aligned} (k \circ h)_*([f]) &= [(k \circ h) \circ f], \\ (k_* \circ h_*)([f]) &= k_*\left(h_*([f])\right) = k_*([h \circ f]) = [k \circ (h \circ f)]. \end{aligned}$$

(ii) : We have  $i_*([f]) = [i \circ f] = [f]$ .  $\square$

**Corollary 7.14.** *Let  $X, Y$  be topological spaces. If  $h : (X, a) \rightarrow (Y, b)$  is a homeomorphism from  $X$  to  $Y$ , then  $h_*$  is an isomorphism from  $\pi_1(X, a)$  to  $\pi_1(Y, b)$ .*

*Proof.* Let  $k : (Y, b) \rightarrow (X, a)$  be the inverse of  $h$ . Then  $k_* \circ h_* = (k \circ h)_* = i_*$ , where  $i$  is the identity map of  $(X, a)$ . Besides,  $h_* \circ k_* = (h \circ k)_* = j_*$ , where  $j$  is the identity map of  $(Y, b)$ . As  $i_*$  and  $j_*$  are the identity homomorphisms of  $\pi_1(X, a)$  and  $\pi_1(Y, b)$  respectively,  $k_*$  is then the inverse of  $h_*$ .  $\square$

**Proposition 7.15.** *Let  $X, Y$  be topological spaces, and  $(a, b) \in X \times Y$ . Then  $\pi_1(X \times Y, (a, b))$  is isomorphic to  $\pi_1(X, a) \times \pi_1(Y, b)$ .*

*Proof.* We know from Proposition 3.14 that the existence of a loop  $f : [0, 1] \rightarrow X \times Y$  at the basepoint  $(a, b)$  is equivalent to the existence of a loop  $g : [0, 1] \rightarrow X$  at the basepoint  $a$ , and a loop  $h : [0, 1] \rightarrow Y$  at the basepoint  $b$  such that  $f = (g, h)$ . We also know from Proposition 3.14 that the existence of a path homotopy  $F : [0, 1] \times [0, 1] \rightarrow X \times Y$  between two loops  $f_1, f_2$  at the basepoint  $(a, b)$  is equivalent to the existence of a path homotopy  $G : [0, 1] \times [0, 1] \rightarrow X$  between two loops  $g_1, g_2$  at the basepoint  $a$ , and a path homotopy  $H : [0, 1] \times [0, 1] \rightarrow Y$  between two loops  $h_1, h_2$  at the basepoint  $b$  such that  $f_1 = (g_1, h_1)$ ,  $f_2 = (g_2, h_2)$ , and  $F = (G, H)$ . Thus, the function  $\alpha : \pi_1(X \times Y, (a, b)) \rightarrow \pi_1(X, a) \times \pi_1(Y, b)$  defined, for a loop  $f = (g, h)$  at the basepoint  $(a, b)$ , by  $\alpha([f]) = ([g], [h])$  is bijective. It can also be extended to a group homomorphism since, for two loops  $f_1 = (g_1, h_1)$ ,  $f_2 = (g_2, h_2)$  at the basepoint  $(a, b)$ , we have

$$\alpha([f_1] * [f_2]) = \alpha([f_1 * f_2]) = ([g_1 * g_2], [h_1 * h_2]) = ([g_1] * [g_2], [h_1] * [h_2]) = \alpha([f_1]) * \alpha([f_2]).$$

Hence,  $\alpha$  is an isomorphism.  $\square$

### 7.3 The Fundamental Group of $\mathbb{S}^n$

**Lemma 7.16.** For  $p_1, p_2, p_3 \in \mathbb{R}^n$ , the triangle of vertices  $p_1, p_2, p_3$  is

$$T = \{t_1 p_1 + t_2 p_2 + t_3 p_3 \mid t_1, t_2, t_3 \in \mathbb{R}_+, t_1 + t_2 + t_3 = 1\}.$$

Consider a topological space  $X$ , and a continuous function  $f : T \rightarrow X$ . For  $i, j \in \{1, 2, 3\}$  with  $i < j$ , the standard parametrisation of  $f$  restricted to the edge from  $p_i$  to  $p_j$  is the path

$$f_{ij} : [0, 1] \rightarrow X, \quad t \mapsto f((1-t)p_i + t p_j)$$

from  $f(p_i)$  to  $f(p_j)$ . We have,  $f_{13} \simeq_p f_{12} * f_{23}$ .

*Proof.* Consider the function

$$q : [0, 1] \times [0, 1] \rightarrow T, \quad (t, s) \mapsto \begin{cases} (1-t-ts)p_1 + 2tsp_2 + (t-ts)p_3 & \text{for } t \leq \frac{1}{2}, \\ (1-t-s-ts)p_1 + 2(1-t)sp_2 + (t-s+ts)p_3 & \text{for } t \geq \frac{1}{2}. \end{cases}$$

We have

$$\begin{aligned} f(q(t, 0)) &= \begin{cases} f((1-t)p_1 + t p_3) = f_{13}(t) & \text{for } t \leq \frac{1}{2} \\ f((1-t)p_1 + t p_3) = f_{13}(t) & \text{for } t \geq \frac{1}{2} \end{cases} = f_{13}(t), \\ f(q(t, 1)) &= \begin{cases} f((1-2t)p_1 + 2t p_2) = f_{12}(2t) & \text{for } t \leq \frac{1}{2} \\ f((1-(2t-1))p_2 + (2t-1)p_3) = f_{23}(2t-1) & \text{for } t \geq \frac{1}{2} \end{cases} = f_{12} * f_{23}(t), \\ f(q(0, s)) &= f(p_1) \quad \text{and} \quad f(q(1, s)) = f(p_3). \end{aligned}$$

Hence, the function

$$F : [0, 1] \times [0, 1] \rightarrow X, \quad (t, s) \mapsto f(q(t, s))$$

is a path homotopy from  $f_{13}$  to  $f_{12} * f_{23}$ .  $\square$

**Lemma 7.17.** *Let  $X$  be a topological space,  $f : [0, 1] \rightarrow X$  a path in  $X$ , and  $a_0, \dots, a_n \in \mathbb{R}$  such that  $0 = a_0 < a_1 < \dots < a_n = 1$ . For  $i \in \{1, \dots, n\}$ , let  $l_i : [0, 1] \rightarrow [a_{i-1}, a_i]$  be the affine function such that  $l_i(0) = a_{i-1}$  and  $l_i(1) = a_i$ , and*

$$f_i : [0, 1] \rightarrow X, \quad t \mapsto f \circ l_i(t)$$

*the standard parametrisation of  $f$  restricted to  $[a_{i-1}, a_i]$ . Then,  $[f] = [f_1] * \dots * [f_n]$ .*

*Proof.* Using Lemma 7.16 with  $f$  equal to the identity map  $i_{[a_0, a_2]}$  on  $[a_0, a_2]$ , we prove that  $l_1 * l_2 \simeq_p l_{12}$  which is the affine function such that  $l_{12}(0) = a_0$  and  $l_{12}(1) = a_2$ . More generally, for  $k \in \{3, \dots, n\}$ , we can use Lemma 7.16 with  $f$  equal to the identity map  $i_{[a_0, a_k]}$  on  $[a_0, a_k]$  to prove that  $l_{1k-1} * l_k \simeq_p l_{1k}$ , where  $l_{1k-1}$  and  $l_{1k}$  are the affine functions such that  $l_{1k-1}(0) = a_0$ ,  $l_{1k-1}(1) = a_{k-1}$ , and  $l_{1k} = a_k$ . Hence, we successively obtain

$$\begin{aligned} l_1 * l_2 * l_3 * \dots * l_n &= l_{12} * l_3 * \dots * l_n \\ &= l_{13} * \dots * l_n \\ &= l_{1n} \end{aligned}$$

which is the identity map on  $[0, 1]$ . We deduce from Lemma 7.4 that

$$\begin{aligned} (f \circ l_1) * (f \circ l_2) * (f \circ l_3) * \dots * (f \circ l_n) &= f \circ l_{1n} = f \\ f_1 * f_2 * f_3 * \dots * f_n &= f \\ [f_1] * [f_2] * [f_3] * \dots * [f_n] &= [f]. \end{aligned}$$

□

**Proposition 7.18.** *Let  $X$  be topological space, and  $A, B$  two open subsets of  $X$  such that  $X = A \cup B$  and  $A \cap B \neq \emptyset$ . Suppose that  $A, B$  are path connected, and take  $x \in A \cap B$ . Consider the inclusion maps  $i : A \hookrightarrow X$  and  $j : B \hookrightarrow X$ . Then,  $\pi_1(X, x)$  is generated by the images of the induced homomorphisms*

$$i_* : \pi_1(A, x) \rightarrow \pi_1(X, x) \quad \text{and} \quad j_* : \pi_1(B, x) \rightarrow \pi_1(X, x).$$

*Proof.* Let  $f : [0, 1] \rightarrow X$  be a loop based at  $x$ . We know from Theorem 8.10 that there exists a positive integer  $n$  such that, for every  $i \in \{1, \dots, n\}$ , the restriction of  $f$  to the interval  $\left[\frac{i-1}{n}, \frac{i}{n}\right]$  is contained in  $A$  or in  $B$ . Let  $f_i$  be the standard parametrisation of  $f$  restricted to  $\left[\frac{i-1}{n}, \frac{i}{n}\right]$ , that is

$$f_i : [0, 1] \rightarrow A \text{ (or } B), \quad t \mapsto f\left(\frac{i-1+t}{n}\right).$$

Since  $A, B$  are path connected, we can find a path  $h_i$  from  $f\left(\frac{i}{n}\right)$  to  $x$  so that

- if  $f\left(\frac{i}{n}\right) \in A$ , then  $h_i : [0, 1] \rightarrow A$  is a path in  $A$ ,
- if  $f\left(\frac{i}{n}\right) \in B$ , then  $h_i : [0, 1] \rightarrow B$  is a path in  $B$ .

Using Lemma 7.17, we may write

$$\begin{aligned} f &= f_1 * f_2 * \cdots * f_i * \cdots * f_{n-1} * f_n \\ &= f_1 * h_1 * \bar{h}_1 * f_2 * h_2 * \cdots * \bar{h}_{i-1} * f_i * h_i * \cdots * \bar{h}_{n-2} * f_{n-1} * h_{n-1} * \bar{h}_{n-1} * f_n \\ &= k_1 * k_2 * \cdots * k_{n-1} * k_n, \end{aligned}$$

where

$$k_1 = f_1 * h_1, k_2 = \bar{h}_1 * f_2 * h_2, \dots, k_i = \bar{h}_{i-1} * f_i * h_i, \dots, k_{n-1} = \bar{h}_{n-2} * f_{n-1} * h_{n-1}, k_n = \bar{h}_{n-1} * f_n.$$

To finish, for every  $i \in \{1, \dots, n\}$ ,  $k_i$  is a loop based at  $x$  in  $A$  or in  $B$ .  $\square$

**Corollary 7.19.** *Let  $X$  be a topological space, and  $A, B$  open sets of  $X$  such that  $X = A \cup B$  and  $A \cap B \neq \emptyset$ . If  $A$  and  $B$  are simply connected, then  $X$  is simply connected.*

*Proof.* As  $A$  and  $B$  are path connected, we deduce from Proposition 5.18 that  $X$  is path connected. Choose a base point  $x \in A \cap B$ . Since  $\pi_1(A, x)$  and  $\pi_1(B, x)$  are the trivial one-element group,  $\pi_1(X, x)$  is then generated by the neutral element by Proposition 7.18, so it is trivial.  $\square$

**Corollary 7.20.** *If  $n$  is a positive integer such that  $n \geq 2$ , then  $\mathbb{S}^n$  is simply connected.*

*Proof.* Write  $\mathbb{S}^n = A \cup B$ , where  $A = \mathbb{S}^n \setminus \{(0, \dots, 0, 1)\}$  and  $B = \mathbb{S}^n \setminus \{(0, \dots, 0, -1)\}$ . We know from the stereographic projection of  $A$  onto  $\mathbb{R}^n$  that  $A$  is homeomorphic to  $\mathbb{R}^n$ . Moreover, the function  $f : A \rightarrow B$ ,  $a \mapsto -a$  is a homeomorphism between  $A$  and  $B$ . Hence,  $A$  and  $B$  are simply connected, and also  $\mathbb{S}^n$  by Corollary 7.19.  $\square$





# Chapter 8

## Covering Spaces

### 8.1 Covering Maps

**Definition 8.1.** Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a continuous surjective function. An open set  $A$  of  $Y$  is said to be **evenly covered** by  $p$  if the inverse image  $p^{-1}(A)$  is equal to  $\bigsqcup_{i \in I} A_i$  such that  $A_i$  is an open subset of  $X$ , and the restriction of  $p$  to  $A_i$  is a homeomorphism of  $A_i$  to  $A$ . The family  $\{A_i\}_{i \in I}$  is called a partition of  $p^{-1}(A)$  into **slices**.

**Definition 8.2.** Let  $X, Y$  be open topological spaces, and  $p : X \rightarrow Y$  a continuous surjective function. If every point  $a$  of  $Y$  has an open neighborhood  $A$  that is evenly covered by  $p$ , then  $p$  is called a **covering map**, and  $X$  is said to be a **covering space** of  $Y$ .

*Example.* Consider  $\mathbb{R}$  with the usual topology, and  $\mathbb{S}^1 = \{(\cos t, \sin t) \mid t \in [0, 2\pi]\}$  equipped with the topology induced by the usual topology of  $\mathbb{R}^2$ . For any point  $a = (\cos u, \sin u) \in \mathbb{S}^1$ , the set  $U_a = \{(\cos t, \sin t) \mid t \in (u-1, u+1)\}$  is then an open neighborhood of  $a$ . The function  $p : \mathbb{R} \rightarrow \mathbb{S}^1$  given by  $p(x) = (\cos 2\pi x, \sin 2\pi x)$  is continuous and surjective. Moreover,

- we have  $p^{-1}(U_a) = \bigsqcup_{k \in \mathbb{Z}} \left( \frac{u-1}{2\pi} + k, \frac{u+1}{2\pi} + k \right)$ , where  $\left( \frac{u-1}{2\pi} + k, \frac{u+1}{2\pi} + k \right)$  is open in  $\mathbb{R}$ ,
- the restriction  $p_k$  of  $p$  to  $\left( \frac{u-1}{2\pi} + k, \frac{u+1}{2\pi} + k \right)$  is clearly a homeomorphism onto  $U_a$ .

Then,  $p$  is a covering map.

**Definition 8.3.** Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a function. A function  $s : Y \rightarrow X$  is called a **section** of  $f$  if  $p(s(y)) = y$  for every  $y \in Y$ .

**Proposition 8.4.** Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a covering map. For every evenly covered set  $V \subseteq Y$ , and every point  $x \in p^{-1}(V)$ , there exists a continuous section  $s : V \rightarrow p^{-1}(V)$  of the restriction  $p : p^{-1}(V) \rightarrow V$  such that  $s(p(x)) = x$ . If  $V$  is connected, then  $s$  is unique.

*Proof.* We can write  $p^{-1}(V) = U \sqcup W$  such that  $U$  and  $W$  are open,  $x \in U$ , and the restriction  $p|_U : U \rightarrow V$  is a homeomorphism. The inverse  $s = p|_U^{-1}$  is clearly a continuous section of  $p|_U$ , and consequently of  $p$  by extending its codomain to  $p^{-1}(V)$ .

If  $V$  is connected, then  $U$  is connected and is a connected component of  $p^{-1}(V)$ . Suppose  $r : V \rightarrow X$  is another continuous section of  $p$  such that  $r(p(x)) = x$ . Since  $r(V) \subseteq p^{-1}(V)$  and  $V$  is connected, then

$r(V)$  is contained in the connected component of  $p^{-1}(V)$  that contains  $x$  which is  $U$ . As  $p(r(y)) = y$  for every  $y \in V$ ,  $r : V \rightarrow U$  is then the inverse of  $p|_U : U \rightarrow V$ .  $\square$

**Proposition 8.5.** *Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a covering map. If  $Y_0$  is a subspace of  $Y$ , and if  $X_0 = p^{-1}(Y_0)$ , then the map  $p_0 : X_0 \rightarrow Y_0$  obtained by restricting  $p$  is a covering map.*

*Proof.* Given  $y \in Y_0$ , let  $V$  be an open set in  $Y$  containing  $y$  that is evenly covered by  $p$ . If  $\{U_i\}_{i \in I}$  is a partition of  $p^{-1}(V)$  into slices, then  $V \cap Y_0$  is a neighborhood of  $y$  in  $Y_0$ , and  $\{U_i \cap X_0\}_{i \in I}$  is formed by disjoint open sets in  $X_0$  whose union is  $p^{-1}(V \cap Y_0)$ . Moreover, the restriction of  $p$  to  $U_i \cap X_0$  is a homeomorphism onto  $V \cap Y_0$ .  $\square$

**Proposition 8.6.** *Let  $X, X', Y, Y'$  be topological spaces, and  $p : X \rightarrow Y, p' : X' \rightarrow Y'$  covering maps. Then  $p \times p' : X \times X' \rightarrow Y \times Y'$  is a covering map.*

*Proof.* Let  $(y, y') \in Y \times Y'$ , and  $V, V'$  neighborhoods of  $y, y'$  respectively, that are evenly covered by  $p, p'$  respectively. Let  $\{U_i\}_{i \in I}, \{U'_j\}_{j \in J}$  be partitions into slices of  $p^{-1}(V), p'^{-1}(V')$  respectively. Then  $(p \times p')^{-1}(V \times V') = \bigsqcup_{\substack{i \in I \\ j \in J}} U_i \times U'_j$ . Moreover, the restriction of  $p \times p'$  to  $U_i \times U'_j$  is a homeomorphism onto  $V \times V'$ .  $\square$

## 8.2 Function Liftings

**Definition 8.7.** Let  $E, X, Y$  be topological spaces,  $p : X \rightarrow Y$  a covering map, and  $f : E \rightarrow Y$  a continuous function. A **lifting** of  $f$  is a function  $\tilde{f} : E \rightarrow X$  such that  $p \circ \tilde{f} = f$ .

$$\begin{array}{ccc} E & \xrightarrow{\tilde{f}} & X \\ & \searrow f & \downarrow p \\ & & Y \end{array}$$

*Example.* Consider the covering map  $p : \mathbb{R} \rightarrow \mathbb{S}^1$  defined by  $p(x) = (\cos 2\pi x, \sin 2\pi x)$ . The path  $f : [0, 1] \rightarrow \mathbb{S}^1$  from  $(1, 0)$  to  $(-1, 0)$  given by  $f(t) = (\cos \pi t, \sin \pi t)$  lifts to the path  $\tilde{f} : [0, 1] \rightarrow \mathbb{R}$  from 0 to  $\frac{1}{2}$  given by  $\tilde{f}(t) = \frac{t}{2}$ . The path  $g : [0, 1] \rightarrow \mathbb{S}^1$  given by  $g(t) = (\cos \pi t, -\sin \pi t)$  from  $(1, 0)$  to  $(-1, 0)$  lifts to the path  $\tilde{g} : [0, 1] \rightarrow \mathbb{R}$  from 0 to  $-\frac{1}{2}$  given by  $\tilde{g}(t) = -\frac{t}{2}$ .

**Lemma 8.8.** *Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a covering map. Consider the subspace*

$$X \times_p X = \{(a, b) \in X \times X \mid p(a) = p(b)\}$$

*of the product space  $X \times X$ . Then,  $\Delta = \{(a, a) \mid a \in X\}$  is an open and a closed subset of  $X \times_p X$ .*

*Proof.* Take  $(x, x) \in \Delta$  and choose an open set  $U \subseteq X$  such that  $x \in U$  and the restriction  $p : U \rightarrow Y$  is injective. Then,  $(U \times U) \cap (X \times_p X) = U \times_p U$  is an open neighborhood of  $(x, x)$  in  $X \times_p X$ . As  $U \times_p U = \{(a, b) \in U \times U \mid p(a) = p(b)\} = \{(a, a) \mid a \in U\} \subseteq \Delta$ , then  $\Delta$  is a neighborhood of points, so is open in  $X \times_p X$  by Proposition 1.9.

Take  $(x_1, x_2) \in X \times_p X \setminus \Delta$ , and choose an evenly covered open set  $V \subseteq Y$  containing  $p(x_1) = p(x_2)$ . Since  $x_1 \neq x_2$ , they cannot be in the same slice, so there exist disjoint open sets  $U_1, U_2 \in p^{-1}(V)$  such that  $x_1 \in U_1$  and  $x_2 \in U_2$ . Therefore, the set  $(U_1 \times U_2) \cap (X \times_p X)$  contains  $(x_1, x_2)$ , is open in  $X \times_p X$ , and is included in  $X \times_p X \setminus \Delta$ . We deduce from Proposition 1.9 that  $X \times_p X \setminus \Delta$  is open, so  $\Delta$  is closed in  $X \times_p X$ .  $\square$

**Lemma 8.9.** *Let  $X, Y$  be topological spaces,  $p : X \rightarrow Y$  a covering map,  $E$  a connected space, and  $f : E \rightarrow Y$  a continuous function. If  $g : E \rightarrow X$  and  $h : E \rightarrow X$  are two liftings of  $f$ , we have either  $g = h$  or  $g(e) \neq h(e)$  for every  $e \in E$ .*

*Proof.* Recall that  $X \times_Y X = \{(a, b) \in X \times X \mid p(a) = p(b)\}$  and  $\Delta = \{(a, a) \mid a \in X\}$ . Consider the continuous function  $\Phi : E \rightarrow X \times_Y X$  defined by  $\Phi(e) = (g(e), h(e))$ . Let  $A = \{e \in E \mid g(e) = h(e)\} = \Phi^{-1}(\Delta)$ . We know from Lemma 8.8 that  $\Delta$  is open and closed in  $X \times_p X$ . Then,  $A$  is open and closed in  $E$ . Since  $E$  is connected, either  $A = E$  or  $A = \emptyset$ .  $\square$

**Theorem 8.10** (Lebesgue number). *Let  $X$  be a compact metric space with metric  $d$ ,  $Y$  a topological space,  $\mathcal{O}$  a family of open sets covering  $Y$ , and  $f : X \rightarrow Y$  a continuous function. There exists  $\rho \in \mathbb{R}_+^*$  such that, for any  $x \in X$ ,  $f(B(x, \rho))$  is contained in an open set of  $\mathcal{O}$ .*

*Proof.* For any  $n \in \mathbb{N}$ , let  $X_n$  be the set of points  $x \in X$  having the property that there exists  $U \in \mathcal{O}$  such that  $B(x, 2^{-n}) \subseteq f^{-1}(U)$ . For any  $x \in X$ , there exists  $U \in \mathcal{O}$  such that  $x \in f^{-1}(U)$ . As  $f^{-1}(U)$  is open, there exists  $n \in \mathbb{N}$  such that  $B(x, 2^{-n}) \subseteq f^{-1}(U)$ , then  $\bigcup_{n \in \mathbb{N}} X_n = X$ .

It is clear that  $X_n \subseteq X_{n+1}$ . Moreover,  $X_n \subseteq X_{n+1}^\circ$ . Indeed, let  $x \in X_n$  and  $U \in \mathcal{O}$  such that  $B(x, 2^{-n}) \subseteq f^{-1}(U)$ . For every  $z \in X$  such that  $d(x, z) < 2^{-n-1}$ , we have  $B(z, 2^{-n-1}) \subseteq B(x, 2^{-n}) \subseteq f^{-1}(U)$ , then  $z \in X_{n+1}$ . Hence  $B(x, 2^{-n-1}) \subseteq X_{n+1}$ , meaning that  $X_{n+1}$  is a neighborhood of  $x$ .

The fact  $X_n \subseteq X_{n+1}^\circ$  implies  $\bigcup_{n \in \mathbb{N}} X_n \subseteq \bigcup_{n \in \mathbb{N}} X_n^\circ$ , and then  $\bigcup_{n \in \mathbb{N}} X_n^\circ = X$ . As  $X$  is compact,  $X = X_n^\circ$  for some  $n \in \mathbb{N}$ , and consequently  $X = X_n$ .  $\square$

**Theorem 8.11.** *Let  $X, Y$  be topological spaces,  $p : X \rightarrow Y$  a covering map, and  $(a, b) \in X \times Y$  such that  $p(a) = b$ . Any path  $f : [0, 1] \rightarrow Y$  beginning at  $b$  has a unique lifting to a path  $\tilde{f} : [0, 1] \rightarrow X$  beginning at  $a$ .*

*Proof.* We know from Lemma 8.9 there exists at most one lifting  $\tilde{f} : [0, 1] \rightarrow X$  such that  $\tilde{f}(0) = a$ . Then, the existence remains. Let  $\mathcal{O}$  be a family of evenly covered open sets covering  $Y$ . We know from Theorem 8.10 that there exist  $n \in \mathbb{N}$  and  $V_1, \dots, V_n \in \mathcal{O}$  such that  $f\left(\left[\frac{i-1}{n}, \frac{i}{n}\right]\right) \subseteq V_i$  for every  $i \in \{1, \dots, n\}$ . We recursively define  $n$  continuous functions  $g_i : \left[\frac{i-1}{n}, \frac{i}{n}\right] \rightarrow X$  for every  $i \in \{1, \dots, n\}$  such that

- $\forall t \in \left[\frac{i-1}{n}, \frac{i}{n}\right], p(g_i(t)) = f(t),$
- $g_1(0) = a, \text{ and } g_i\left(\frac{i}{n}\right) = g_{i+1}\left(\frac{i}{n}\right).$

Using Proposition 8.4, we deduce the existence of a section  $s_1 : V_1 \rightarrow p^{-1}(V_1)$  of the restriction  $p : p^{-1}(V_1) \rightarrow V_1$  such that  $s_1(p(a)) = a$ . Then, we may define  $g_1 : \left[0, \frac{1}{n}\right] \rightarrow X$  by  $g_1(t) = s_1(f(t))$ . Suppose that  $g_i$  has already been defined, and consider a section  $s_{i+1} : V_{i+1} \rightarrow p^{-1}(V_{i+1})$  of the restriction  $p : p^{-1}(V_{i+1}) \rightarrow V_{i+1}$  such that  $s_{i+1}\left(f\left(\frac{i}{n}\right)\right) = s_{i+1}\left(p\left(g_i\left(\frac{i}{n}\right)\right)\right) = g_i\left(\frac{i}{n}\right)$ . We may define  $g_{i+1} : \left[\frac{i}{n}, \frac{i+1}{n}\right] \rightarrow X$  by  $g_{i+1}(t) = s_{i+1}(f(t))$ . Hence  $g_1 * g_2 * \dots * g_n$  is the required lifting  $\tilde{f}$ .  $\square$

**Proposition 8.12.** *Let  $X, Y$  be topological spaces,  $p : X \rightarrow Y$  a covering map, and  $(a, b) \in X \times Y$  such that  $p(a) = b$ . Consider a continuous function  $F : [0, 1] \times [0, 1] \rightarrow Y$  such that  $F(0, 0) = b$ . There exists a unique lifting of  $F$  to a continuous function*

$$\tilde{F} : [0, 1] \times [0, 1] \rightarrow X \quad \text{such that} \quad \tilde{F}(0, 0) = a.$$

*Proof.* We know from Lemma 8.9 there exists at most one lifting  $\tilde{F} : [0, 1] \times [0, 1] \rightarrow X$  such that  $\tilde{F}(0, 0) = a$ . Then, the existence remains.

Let  $\mathcal{O}$  be a family of evenly covered open sets covering  $Y$ . We know from Theorem 8.10 that there exist  $m, n \in \mathbb{N}$  and  $V_{11}, \dots, V_{mn} \in \mathcal{O}$  such that  $F\left(\left[\frac{i-1}{m}, \frac{i}{m}\right] \times \left[\frac{j-1}{n}, \frac{j}{n}\right]\right) \subseteq V_{ij}$  for every  $(i, j) \in \{1, \dots, m\} \times \{1, \dots, n\}$ . We recursively define on each row and from the bottom to the top  $mn$  continuous functions  $\tilde{F}_{ij} : \left[\frac{i-1}{m}, \frac{i}{m}\right] \times \left[\frac{j-1}{n}, \frac{j}{n}\right] \rightarrow X$  for every  $(i, j) \in \{1, \dots, m\} \times \{1, \dots, n\}$  such that

- $\forall (s, t) \in \left[\frac{i-1}{m}, \frac{i}{m}\right] \times \left[\frac{j-1}{n}, \frac{j}{n}\right], p(\tilde{F}_{ij}(s, t)) = F(s, t),$
- $\tilde{F}_{11}(0, 0) = a$  and  $\tilde{F}_{i1}\left(\frac{i}{m}, 0\right) = \tilde{F}_{i+11}\left(\frac{i}{m}, 0\right),$
- $\tilde{F}_{1j+1}\left(0, \frac{j}{n}\right) = \tilde{F}_{1j}\left(0, \frac{j}{n}\right)$  and  $\tilde{F}_{i1+1}\left(\frac{i}{m}, \frac{j}{n}\right) = \tilde{F}_{i+1j+1}\left(\frac{i}{m}, \frac{j}{n}\right).$

Using Proposition 8.4, we deduce the existence of a section  $s_{11} : V_{11} \rightarrow p^{-1}(V_{11})$  of the restriction  $p : p^{-1}(V_{11}) \rightarrow V_{11}$  such that  $s_{11}(p(a)) = a$ . Then, we may define  $\tilde{F}_{11} : \left[0, \frac{1}{m}\right] \times \left[0, \frac{1}{n}\right] \rightarrow X$  by  $\tilde{F}_{11}(s, t) = s_{11}(F(s, t))$ . Suppose that  $\tilde{F}_{11}, \dots, \tilde{F}_{ij}$  have already been defined, and consider a section  $s_{i+1,j} : V_{i+1,j} \rightarrow p^{-1}(V_{i+1,j})$  of the restriction  $p : p^{-1}(V_{i+1,j}) \rightarrow V_{i+1,j}$  such that

$$s_{i+1,j}\left(F\left(\frac{i}{m}, \frac{j}{n}\right)\right) = s_{i+1,j}\left(p\left(\tilde{F}_{ij}\left(\frac{i}{m}, \frac{j}{n}\right)\right)\right) = \tilde{F}_{ij}\left(\frac{i}{m}, \frac{j}{n}\right).$$

We may define  $\tilde{F}_{i+1,j} : \left[\frac{i}{m}, \frac{i+1}{m}\right] \times \left[\frac{j}{n}, \frac{j+1}{n}\right] \rightarrow X$  by  $\tilde{F}_{i+1,j} = s_{i+1,j}(F(s, t))$ .

Remark that, due to the uniqueness of the lifting of the path  $F\left(\frac{i}{m}, \frac{j-1+t}{n}\right)$  with variable  $t$  beginning at  $\tilde{F}_{ij}\left(\frac{i}{m}, \frac{j-1}{n}\right) = \tilde{F}_{i+1j}\left(\frac{i}{m}, \frac{j-1}{n}\right)$ , we have

$$\forall (s, t) \in \left\{\frac{i}{m}\right\} \times \left[\frac{j-1}{n}, \frac{j}{n}\right], \tilde{F}_{ij}(s, t) = \tilde{F}_{i+1j}(s, t).$$

Using the same argument with the lifting beginning at  $\tilde{F}_{ij}\left(\frac{i}{m}, \frac{j}{n}\right) = \tilde{F}_{i+1j+1}\left(\frac{i}{m}, \frac{j}{n}\right)$ , we get

$$\forall (s, t) \in \left[\frac{i-1}{m}, \frac{i}{m}\right] \times \left\{\frac{j}{n}\right\}, \tilde{F}_{ij}(s, t) = \tilde{F}_{i+1j+1}(s, t).$$

Hence,  $\tilde{F} = \tilde{F}_{ij}$  on  $\left[\frac{i-1}{m}, \frac{i}{m}\right] \times \left[\frac{j-1}{n}, \frac{j}{n}\right] \rightarrow X$ , for every  $(i, j) \in \{1, \dots, m\} \times \{1, \dots, n\}$ , is the required lifting of  $F$ .  $\square$

**Corollary 8.13.** *Let  $X, Y$  be topological spaces,  $p : X \rightarrow Y$  a covering map, and  $(a, b) \in X \times Y$  such that  $p(a) = b$ . Consider two paths  $f : [0, 1] \rightarrow Y$  and  $g : [0, 1] \rightarrow Y$  beginning at  $b$  and ending  $c$ , and their respective liftings  $\tilde{f}$  and  $\tilde{g}$  beginning at  $a$ . The following conditions are equivalent:*

- (i)  $f$  and  $g$  are path homotopic,
- (ii)  $\tilde{f}(1) = \tilde{g}(1)$  and  $\tilde{f}, \tilde{g}$  are path homotopic.

*Proof.* (i)  $\Rightarrow$  (ii) : Consider a path homotopy  $F : [0, 1] \times [0, 1] \rightarrow Y$  such that  $F(0, t) = f(t)$ ,  $F(1, t) = g(t)$ ,  $F(s, 0) = b$ , and  $F(s, 1) = c$ . Let  $\tilde{F} : [0, 1] \times [0, 1] \rightarrow X$  the lifting of  $F$  such that  $\tilde{F}(0, 0) = a$  described in Proposition 8.12. Path lifting uniqueness implies  $\tilde{F}(0, t) = \tilde{f}(t)$  and  $\tilde{F}(1, t) = \tilde{g}(t)$ . Moreover,  $\tilde{F}(s, 0)$  and  $\tilde{F}(s, 1)$  are the liftings of  $e_b$  and  $e_c$  respectively, so must be constant. Consequently,  $\tilde{f}(1) = \tilde{g}(1)$  and  $\tilde{F}$  is a path homotopy between  $\tilde{f}$  and  $\tilde{g}$ .

(ii)  $\Rightarrow$  (i) : If  $\tilde{f}$  and  $\tilde{g}$  are path homotopic with path homotopy  $\tilde{F}$ , then  $p \circ \tilde{f} = f$  and  $p \circ \tilde{g} = g$  are path homotopic with path homotopy  $p \circ \tilde{F}$ .  $\square$

**Definition 8.14.** Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a covering map. Let  $b \in Y$  and choose  $a \in X$  so that  $p(a) = b$ . Given an element  $[f]$  of  $\pi_1(Y, b)$ , let  $\tilde{f} : [0, 1] \rightarrow X$  be the lifting of  $f$  to a path in  $X$  that begins at  $a$ . Define the function

$$\phi : \pi_1(Y, b) \rightarrow p^{-1}(b), \quad [f] \mapsto \tilde{f}(1).$$

One calls  $\phi$  the **lifting correspondence** derived from the covering map  $p$  and the origin  $a$ .

**Proposition 8.15.** *Let  $X, Y$  be topological spaces, and  $p : X \rightarrow Y$  a covering map. Let  $b \in Y$  and choose  $a \in X$  so that  $p(a) = b$ . If  $X$  is path connected, then the lifting correspondence*

$$\phi : \pi_1(Y, b) \rightarrow p^{-1}(b), \quad [f] \mapsto \tilde{f}(1)$$

*is surjective. If  $X$  is simply connected, then  $\phi$  is bijective.*

*Proof.* Let  $a' \in p^{-1}(b)$ , and  $\tilde{f} : [0, 1] \rightarrow X$  a path from  $a$  to  $a'$ . The path  $\tilde{f}$  is the lifting of  $f = p \circ \tilde{f}$  which is a loop in  $Y$  at  $b$ , then  $\phi([f]) = a'$ , and  $\phi$  is consequently surjective.

Suppose that  $X$  is simply connected. Take  $[f], [g] \in \pi_1(Y, b)$  such that  $\phi([f]) = \phi([g])$ . Let  $\tilde{f}$  and  $\tilde{g}$  be the liftings of  $f$  and  $g$  respectively that begin at  $a$ . Then  $\tilde{f}(1) = \tilde{g}(1)$ . The fact  $X$  is simply connected implies the existence of a path homotopy  $\tilde{F}$  between  $\tilde{f}$  and  $\tilde{g}$ . Then  $p \circ \tilde{F}$  is path homotopy between  $f$  and  $g$ , that is  $[f] = [g]$ .  $\square$

**Theorem 8.16.** *The group  $\pi_1(\mathbb{S}^1, (1, 0))$  is isomorphic to the additive group  $(\mathbb{Z}, +)$ .*

*Proof.* Consider the covering map  $p : \mathbb{R} \rightarrow \mathbb{S}^1$  given by  $p(x) = (\cos 2\pi x, \sin 2\pi x)$ . We have  $p^{-1}((1, 0)) = \mathbb{Z}$ . Since  $\mathbb{R}$  is simply connected, we deduce from Proposition 8.15 that the lifting correspondence

$$\phi : \pi_1(\mathbb{S}^1, (1, 0)) \rightarrow \mathbb{Z}, \quad [f] \mapsto \tilde{f}(1)$$

is bijective. It remains to show that  $\phi$  is a homeomorphism.

Given  $[f], [g] \in \pi_1(\mathbb{S}^1, (1, 0))$ , let  $\tilde{f}, \tilde{g}$  be their respective liftings to paths in  $\mathbb{R}$  beginning at 0. Denote  $n = \tilde{f}(1)$  and  $m = \tilde{g}(1)$ . Define the path

$$\tilde{\tilde{g}} : [0, 1] \rightarrow \mathbb{R}, \quad t \mapsto n + \tilde{g}(t).$$

Since  $p \circ \tilde{\tilde{g}}(t) = p(n + \tilde{g}(t)) = p(\tilde{g}(t))$ , the path  $\tilde{\tilde{g}}$  is then the lifting of  $g$  that begins at  $n$ . Then  $\tilde{f} * \tilde{\tilde{g}} : [0, 1] \rightarrow \mathbb{R}$  is defined, and is the lifting of  $f * g$  that begins at 0. As  $\tilde{f} * \tilde{\tilde{g}}(1) = \tilde{\tilde{g}}(1) = n + m$ , we obtain

$$\phi([f] * [g]) = n + m = \phi([f]) + \phi([g]).$$

□

# Chapter 9

## Homotopy

### 9.1 Homotopy of Functions

**Definition 9.1.** Let  $X, Y$  be topological spaces, and  $f, g$  continuous functions from  $X$  into  $Y$ . One says that  $f$  is **homotopic** to  $g$  if there is a continuous function  $F : X \times [0, 1] \rightarrow Y$  such that

$$\forall x \in X, \quad F(x, 0) = f(x) \quad \text{and} \quad F(x, 1) = g(x).$$

In that case, one writes  $f \simeq g$ . The function  $F$  is called a **homotopy** between  $f$  and  $g$ .

**Lemma 9.2.** *The relation  $\simeq$  on homotopic functions is an equivalence relation.*

*Proof.* Given a function  $f$ , the function  $F(x, t) = f(x)$  is the required homotopy to get  $f \simeq f$ .

If  $f \simeq g$  is got by a homotopy  $F(x, t)$ , then  $G(x, t) = F(x, 1 - t)$  is a homotopy between  $g$  and  $f$ .

Suppose that  $f \simeq g$  by means of a homotopy  $F$ , and  $g \simeq h$  by means of a homotopy  $G$ , then  $f \simeq h$  by means of the homotopy  $H : X \times [0, 1] \rightarrow Y$  defined by the equation

$$H(x, t) = \begin{cases} F(x, 2t) & \text{if } t \in [0, \frac{1}{2}], \\ G(x, 2t - 1) & \text{if } t \in [\frac{1}{2}, 1]. \end{cases}$$

□

**Definition 9.3.** Let  $X$  be a topological space, and  $A \subseteq X$ . A **retraction** of  $X$  onto  $A$  is a continuous function  $r : X \rightarrow A$  such that the restriction  $r : A \rightarrow A$  is the identity map of  $A$ . If such a function  $r$  exists, one says that  $A$  is a **retract** of  $X$ .

**Definition 9.4.** Let  $X$  be a topological space, and  $A \subseteq X$ . Suppose that there exists a continuous function  $F : X \times [0, 1] \rightarrow X$  such that

$$\begin{aligned} \forall x \in X, \quad F(x, 0) = x \quad \text{and} \quad F(x, 1) \in A, \\ \forall t \in [0, 1], \forall a \in A, \quad F(a, t) = a. \end{aligned}$$

The homotopy  $F$  between the identity map  $F(x, 0)$  of  $X$  and the retraction  $F(x, 1)$  of  $X$  onto  $A$  is called a **deformation retraction** of  $X$  onto  $A$ , and  $A$  is called a **deformation retract** of  $X$ .

**Proposition 9.5.** *Let  $X$  be a topological space,  $A \subseteq X$ , and  $x \in A$ . Consider the homomorphism  $i_* : \pi_1(A, x) \rightarrow \pi_1(X, x)$  induced by the inclusion map  $i : A \hookrightarrow X$ .*

(i) If  $A$  is a retract of  $X$ , then  $i_*$  is injective.

(ii) If  $A$  is a deformation retract of  $X$ , then  $i_*$  is bijective.

*Proof.* (i) : If  $r : X \rightarrow A$  is a retraction, then  $r \circ i$  is the identity map of  $A$ . It follows that  $(r \circ i)_* = r_* \circ i_*$  is the identity map of  $\pi_1(A, x)$ , which implies that  $i_*$  is injective.

(ii) : Suppose that  $F : X \times [0, 1] \rightarrow X$  is a deformation retraction of  $X$  onto  $A$ . Since  $F(X, 1) = A$ , then for any loop  $f : [0, 1] \rightarrow X$  based at  $x$ ,  $F(f(\cdot), \cdot)$  is a homotopy between  $f$  and a loop  $F(f(\cdot), 1)$  in  $A$ . Moreover, as  $F(f(0), t) = F(f(1), t) = x$  for every  $t \in [0, 1]$ , then  $f \simeq_p F(f(\cdot), 1)$ . Hence  $[F(f(\cdot), 1)] = [f]$ , meaning that  $[f] = i_* \left( [F(f(\cdot), 1)] \right)$ , and  $i_*$  is consequently surjective.  $\square$

*Example.* There is no retraction of the real disc  $\overline{B((0,0), 1)}$  onto  $\mathbb{S}^1$ . Suppose, indeed, that  $\mathbb{S}^1$  is a retract of  $\overline{B((0,0), 1)}$ . According to Proposition 9.5, the homomorphism  $i_* : \pi_1(\mathbb{S}^1, (1, 0)) \rightarrow \pi_1(\overline{B((0,0), 1)}, (1, 0))$  induced by the inclusion map  $i : \mathbb{S}^1 \hookrightarrow \overline{B((0,0), 1)}$  is injective. That is impossible, since  $\pi_1(\mathbb{S}^1, (1, 0)) \cong \mathbb{Z}$  and  $\pi_1(\overline{B((0,0), 1)}, (1, 0)) \cong 0$ .

## 9.2 Homotopy Equivalence

**Definition 9.6.** Let  $X, Y$  be a topological spaces, and  $f : X \rightarrow Y, g : Y \rightarrow X$  continuous functions. Suppose that  $g \circ f : X \rightarrow X$  is homotopic to the identity map of  $X$ , and  $f \circ g : Y \rightarrow Y$  to the identity map of  $Y$ . Then, the functions  $f$  and  $g$  are said to be **homotopy equivalent**, and each is called a **homotopy inverse** of the other.

**Proposition 9.7.** Let  $X, Y$  be topological spaces, and  $F : X \times [0, 1] \rightarrow Y$  a homotopy between continuous functions  $f = F(\cdot, 0)$  and  $g = F(\cdot, 1)$ . Take  $x \in X$ , and consider the path  $h = F(x, \cdot)$  from  $f(x)$  to  $g(x)$ . Then, the following diagram is commutative:

$$\begin{array}{ccc} \pi_1(X, x) & \xrightarrow{f_*} & \pi_1(Y, f(x)) \\ & \searrow g_* & \downarrow \hat{h} \\ & & \pi_1(Y, g(x)) \end{array}$$

*Proof.* Let  $l : [0, 1] \rightarrow X$  be a loop based at  $x$ . Consider the continuous function

$$L : [0, 1] \times [0, 1] \rightarrow Y, \quad (s, t) \mapsto F(l(s), t),$$

and the points  $p_1 = (0, 0), p_2 = (1, 0), p_3 = (0, 1), p_4 = (1, 1)$ . Denoting  $L_{ij}$  the standard parametrisation of  $L$  restricted to the edge from  $p_i$  to  $p_j$ , where  $i, j \in \{1, 2, 3, 4\}$  and  $i < j$ , we get  $L_{12} * L_{24} \simeq_p L_{14}$  and  $L_{13} * L_{34} \simeq_p L_{14}$  by Lemma 7.16, hence  $L_{12} * L_{24} \simeq_p L_{13} * L_{34}$ . Remark that  $L_{12} = f \circ l, L_{13} = L_{24} = h, L_{34} = g \circ l$ , hence

$$\begin{aligned} f \circ l * h &= h * g \circ l \\ [f \circ l] * [h] &= [h] * [g \circ l] \\ [\hat{h}] * [f \circ l] * [h] &= [g \circ l] \\ \hat{h} \circ f_*([l]) &= g_*([l]). \end{aligned}$$

$\square$



**Corollary 9.8.** *Let  $X$  be a topological space, and  $f : X \rightarrow X$  a continuous function that is homotopic to the identity map of  $X$ . Then, for any  $x \in X$ , the function  $f_* : \pi_1(X, x) \rightarrow \pi_1(X, f(x))$  is a group isomorphism.*

*Proof.* Let  $F : X \times [0, 1] \rightarrow X$  be a homotopy between the identity map  $F(\cdot, 0) = i$  of  $X$  and  $F(\cdot, 1) = f$ , and consider the path  $h = F(x, \cdot)$  from  $x$  to  $f(x)$ . Proposition 9.7 implies that  $f_* = \hat{h} \circ i_* = \hat{h}$ , which is an isomorphism from  $\pi_1(X, x)$  to  $\pi_1(X, f(x))$  by Proposition 7.11.  $\square$

**Lemma 9.9.** *Let  $A, B, C, D$  be sets, and  $f, g, h$  functions represented by the following diagram:*

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D.$$

*If  $g \circ f$  is bijective and  $h \circ g$  is injective, then  $f$  is bijective.*

*Proof.* As  $g \circ f$  is injective, then  $f$  is injective.

Take  $b \in B$ . As  $g \circ f$  is surjective, there exists  $a \in A$  such that  $g \circ f(a) = g(b)$ . Remark that  $g$  is also injective since  $h \circ g$  is injective. The injectivity of  $g$  implies  $f(a) = b$ , hence  $f$  is surjective.  $\square$

**Theorem 9.10.** *Let  $X, Y$  be topological spaces,  $x \in X$ , and  $f : X \rightarrow Y$  a continuous function. If there exists a continuous function  $g : Y \rightarrow X$  homotopy equivalent to  $f$ , then  $f_* : \pi_1(X, x) \rightarrow \pi_1(Y, f(x))$  is an isomorphism.*

*Proof.* Consider the following sequence of homomorphisms:

$$\pi_1(X, x) \xrightarrow{f_*} \pi_1(Y, f(x)) \xrightarrow{g_*} \pi_1(X, g \circ f(x)) \xrightarrow{f_*} \pi_1(Y, f \circ g \circ f(x)).$$

We know from Corollary 9.8 that  $g_* \circ f_*$  and  $f_* \circ g_*$  are isomorphisms. Moreover, we can deduce from Lemma 9.9 that  $f_*$  is bijective.  $\square$



# Chapter 10

## Singular Homology

### 10.1 Singular Homology

**Proposition 10.1.** Let  $u_0, u_1, \dots, u_p \in \mathbb{R}^n$ . The following conditions are equivalent:

- (i) the  $p$  vectors  $\overrightarrow{u_0u_1}, \overrightarrow{u_0u_2}, \dots, \overrightarrow{u_0u_p}$  are linearly independent,
- (ii) if  $s_0, s_1, \dots, s_p, t_0, t_1, \dots, t_p \in \mathbb{R}$  such that

$$\sum_{i=0}^p s_i u_i = \sum_{i=0}^p t_i u_i \quad \text{and} \quad \sum_{i=0}^p s_i = \sum_{i=0}^p t_i,$$

then  $s_i = t_i$  for  $i \in \{0, 1, \dots, p\}$ .

*Proof.* (i)  $\Rightarrow$  (ii) : If  $\sum_{i=0}^p s_i u_i = \sum_{i=0}^p t_i u_i$  and  $\sum_{i=0}^p s_i = \sum_{i=0}^p t_i$ , then

$$\begin{aligned} 0 &= \sum_{i=0}^p (s_i - t_i) u_i \\ &= \sum_{i=0}^p (s_i - t_i) u_i - \left( \sum_{i=0}^p (s_i - t_i) \right) u_0 \\ &= \sum_{i=1}^p (s_i - t_i) (u_i - u_0). \end{aligned}$$

As  $\overrightarrow{u_0u_1}, \overrightarrow{u_0u_2}, \dots, \overrightarrow{u_0u_p}$  are linearly independent, it follows that  $s_i = t_i$  for  $i \in \{1, \dots, p\}$ . Moreover,  $\sum_{i=0}^p s_i = \sum_{i=0}^p t_i$  implies  $s_0 = t_0$ .

(ii)  $\Rightarrow$  (i) : If  $\sum_{i=1}^p t_i (u_i - u_0) = 0$ , then  $\sum_{i=1}^p t_i u_i = \left( \sum_{i=1}^p t_i \right) u_0$ . Hence, we must have  $t_1 = \dots = t_n = 0$ .  $\square$

**Definition 10.2.** Let  $n \in \mathbb{N}$ ,  $p \in \{1, \dots, n\}$ , and  $u_0, u_1, \dots, u_p \in \mathbb{R}^n$ . A  $p$ -**simplex**  $[u_0, u_1, \dots, u_p]$  is a convex hull

$$\left\{ t_0 u_0 + t_1 u_1 + \dots + t_p u_p \mid t_0, t_1, \dots, t_p \in \mathbb{R}_+, \sum_{i=0}^p t_i = 1 \right\}$$

with ordered **vertices**  $u_0, u_1, \dots, u_p$  such that the  $p$  vectors  $\overrightarrow{u_0u_1}, \overrightarrow{u_0u_2}, \dots, \overrightarrow{u_0u_p}$  are linearly independent.

**Corollary 10.3.** *If  $[u_0, u_1, \dots, u_p]$  is a  $p$ -simplex in  $\mathbb{R}^n$ , then every point of  $[u_0, u_1, \dots, u_p]$  has a distinct unique representation in the form  $\sum_{i=0}^p t_i u_i$ , with  $t_0, t_1, \dots, t_p \in \mathbb{R}_+$  and  $\sum_{i=0}^p t_i = 1$ .*

*Proof.* It is Proposition 10.1 with the conditions  $t_0, t_1, \dots, t_p \in \mathbb{R}_+$  and  $\sum_{i=0}^p t_i = 1$ .  $\square$

*Example.* The **standard  $n$ -simplex** is convex hull

$$\Delta^n := \left\{ (t_0, t_1, \dots, t_n) \in \mathbb{R}^{n+1} \mid t_0, t_1, \dots, t_n \in \mathbb{R}_+, \sum_{i=0}^n t_i = 1 \right\} = [e_0, e_1, \dots, e_n]$$

of the ordered vertices  $e_0 = (0, \dots, 0)$ ,  $e_1 = (1, 0, \dots, 0)$ ,  $\dots$ ,  $e_n = (0, \dots, 0, 1)$ .

**Definition 10.4.** Let  $X$  be a topological space. A **singular  $n$ -simplex** in  $X$  is a continuous function

$$\sigma : \Delta^n \rightarrow X.$$

Denote  $S_n(X)$  the set of singular  $n$ -simplices in  $X$ . Let  $C_n(X)$  be the free abelian group with basis  $S_n(X)$ , that is,

$$C_n(X) := \left\{ \sum_{a \in A} n_a \sigma_a \mid \#A \in \mathbb{N}, n_a \in \mathbb{Z}, \sigma_a \in S_n(X) \right\}.$$

Elements of  $C_n(X)$  are called **singular  $n$ -chains**.

**Definition 10.5.** Let  $X$  be a topological space, and  $i \in \{0, 1, \dots, n\}$ . The  $i^{\text{th}}$  **face operator** is the homomorphism

$$\partial_i : C_n(X) \rightarrow C_{n-1}(X), \quad \sum_{a \in A} n_a \sigma_a \mapsto \sum_{a \in A} n_a \sigma_a| [e_0, e_1, \dots, \hat{e}_i, \dots, e_n],$$

where  $[e_0, e_1, \dots, \hat{e}_i, \dots, e_n]$  is the  $n-1$ -simplex with vertices  $e_0, \dots, e_{i-1}, e_{i+1}, \dots, e_n$ .

The **boundary operator** is the homomorphism

$$\partial : C_n(X) \rightarrow C_{n-1}(X), \quad \sigma \mapsto \sum_{i=0}^n (-1)^i \partial_i(\sigma).$$

**Proposition 10.6.** *Let  $X$  be a topological space. The following composition is zero:*

$$C_n(X) \xrightarrow{\partial} C_{n-1}(X) \xrightarrow{\partial} C_{n-2}(X).$$

*Proof.* For  $\sigma \in C_n(X)$ , we have  $\partial(\sigma) = \sum_{i=0}^n (-1)^i \sigma| [e_0, \dots, \hat{e}_i, \dots, e_n]$ . Remark that

$$\partial \sigma| [e_0, \dots, \hat{e}_i, \dots, e_n] = \sum_{j=0}^{i-1} (-1)^j \sigma| [e_0, \dots, \hat{e}_j, \dots, \hat{e}_i, \dots, e_n] + \sum_{j=i+1}^n (-1)^{j-1} \sigma| [e_0, \dots, \hat{e}_i, \dots, \hat{e}_j, \dots, e_n].$$

Then,

$$\begin{aligned} \partial \circ \partial(\sigma) &= \sum_{i=0}^n \sum_{j=0}^{i-1} (-1)^{i+j} \sigma| [e_0, \dots, \hat{e}_j, \dots, \hat{e}_i, \dots, e_n] + \sum_{i=0}^n \sum_{j=i+1}^n (-1)^{i+j-1} \sigma| [e_0, \dots, \hat{e}_i, \dots, \hat{e}_j, \dots, e_n] \\ &= \sum_{\substack{i, j \in \{0, \dots, n\} \\ i > j}} (-1)^{i+j} \sigma| [e_0, \dots, \hat{e}_j, \dots, \hat{e}_i, \dots, e_n] + \sum_{\substack{i, j \in \{0, \dots, n\} \\ i < j}} (-1)^{i+j-1} \sigma| [e_0, \dots, \hat{e}_i, \dots, \hat{e}_j, \dots, e_n] \\ &= 0. \end{aligned}$$

$\square$

**Definition 10.7.** Let  $X$  be a topological space. The **singular complex**  $C_\bullet(X)$  of  $X$  is the homomorphism sequence

$$\cdots \xrightarrow{\partial} C_{n+1}(X) \xrightarrow{\partial} C_n(X) \xrightarrow{\partial} C_{n-1}(X) \xrightarrow{\partial} \cdots \xrightarrow{\partial} C_1(X) \xrightarrow{\partial} C_0(X) \xrightarrow{\partial} 0.$$

The group of **singular  $n$ -cycles** of  $X$  is  $Z_n(X) := \{\sigma \in C_n(X) \mid \partial(\sigma) = 0\}$ . The group of **singular  $n$ -boundaries** of  $X$  is  $B_n(X) := \{\sigma \in C_n(X) \mid \exists \tau \in C_{n+1}(X), \partial(\tau) = \sigma\}$ . The quotient group

$$H_n(X) = Z_n(X)/B_n(X)$$

is the  $n^{\text{th}}$  **singular homology group** of  $X$ .

*Example.* If  $x$  is a point, then  $H_0(\{x\}) \cong \mathbb{Z}$ , and  $H_n(\{x\}) = 0$  for  $n \in \mathbb{N}$ . Indeed, for every nonnegative integer  $n$ ,  $C_n(\{x\}) = \mathbb{Z}\{\sigma\}$ , where  $\sigma : \Delta^n \rightarrow \{x\}$ ,  $t \mapsto x$ . Moreover, for every  $z\sigma \in C_n(\{x\})$ ,

$$\partial(z\sigma) = \sum_{i=0}^n (-1)^i \partial_i(z\sigma) = \sum_{i=0}^n (-1)^i z\sigma = \begin{cases} z\sigma & \text{if } n \text{ is even and } n \neq 0, \\ 0 & \text{if } n \text{ is odd.} \end{cases}$$

The singular complex of  $\{x\}$  is then

$$\cdots \xrightarrow{0} \mathbb{Z}\{\sigma\} \xrightarrow{\text{restriction}} \mathbb{Z}\{\sigma\} \xrightarrow{0} \mathbb{Z}\{\sigma\} \xrightarrow{\text{restriction}} \mathbb{Z}\{\sigma\} \xrightarrow{0} \mathbb{Z}\{\sigma\} \xrightarrow{0} 0.$$

Hence,

- $Z_0(\{x\}) = \mathbb{Z}\{\sigma\}$  and  $B_0(\{x\}) = \{0\}$ , implying  $H_0(\{x\}) = \mathbb{Z}\{\sigma\}/\{0\} \cong \mathbb{Z}$ ,
- if  $n$  is even and  $n \neq 0$ ,  $Z_n(\{x\}) = \{0\}$  and  $B_n(\{x\}) = \{0\}$ , then  $H_n(\{x\}) = \{0\}/\{0\} = \{0\}$ ,
- if  $n$  is odd,  $Z_n(\{x\}) = \mathbb{Z}\{\sigma\}$  and  $B_n(\{x\}) = \mathbb{Z}\{\sigma\}$ , then  $H_n(\{x\}) = \mathbb{Z}\{\sigma\}/\mathbb{Z}\{\sigma\} \cong \{0\}$ .

**Proposition 10.8.** Let  $X$  be a topological space. Suppose that  $X = \bigsqcup_{i \in I} X_i$ , where  $X_i$  is a path component. Then,

$$H_n(X) \cong \bigoplus_{i \in I} H_n(X_i).$$

*Proof.* Let  $\sigma$  be a singular  $n$ -simplex in  $X$ . Since  $\Delta^n$  is path connected, then  $\sigma(\Delta^n)$  is path connected, meaning that  $\sigma(\Delta^n) \subseteq X_i$  for some  $i \in I$ . Then  $C_n(X) = \bigoplus_{i \in I} C_n(X_i)$ . Moreover,  $\partial(C_n(X_i)) \subseteq$

$C_{n-1}(X_i)$ , hence  $Z_n(X) = \bigoplus_{i \in I} Z_n(X_i)$  and  $B_n(X) = \bigoplus_{i \in I} B_n(X_i)$ . Consider the natural homomorphism

$p : \bigoplus_{i \in I} Z_n(X_i) \mapsto \bigoplus_{i \in I} Z_n(X_i)/B_n(X_i)$ ,  $(\sigma_i)_{i \in I} \mapsto (\sigma_i)_{i \in I}$  which is the canonical projection on each coordinate.

It is obviously surjective, and  $\ker p = \bigoplus_{i \in I} B_n(X_i)$ . Therefore

$$H_n(X) = \bigoplus_{i \in I} Z_n(X_i) / \bigoplus_{i \in I} B_n(X_i) \cong \bigoplus_{i \in I} Z_n(X_i) / B_n(X_i) = \bigoplus_{i \in I} H_n(X_i).$$

□

**Proposition 10.9.** *Let  $X$  be a topological space. Suppose that  $X = \bigsqcup_{i \in I} X_i$ , where  $X_i$  is a path component. Then,*

$$H_0(X) \cong \overbrace{\cdots \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \cdots}^{\#I \text{ times}}.$$

*Proof.* Define a homomorphism  $h : C_0(X_i) \rightarrow \mathbb{Z}$ ,  $\sum_{j \in J} n_j \sigma_j \mapsto \sum_{j \in J} n_j$ . It is obviously surjective as  $X_i$  is assumed to be nonempty. For every  $\sigma \in S_1(X_i)$ , we have  $h \circ \partial(\sigma) = h(\sigma|_{[e_1]} - \sigma|_{[e_0]}) = 1 - 1 = 0$ . It follows that  $\{\tau \in C_0(X_i) \mid \exists \sigma \in C_1(X_i), \partial(\sigma) = \tau\} = B_0(X_i) \subseteq \ker h$ .

Now, let  $\sum_{j \in J} n_j \sigma_j \in C_0(X_i)$  such that  $h\left(\sum_{j \in J} n_j \sigma_j\right) = 0$ . Take a point  $x \in X_i$  and note that, for each  $j \in J$ , there exists a singular 1-simplex  $\tau_j : [e_0, e_1] \rightarrow X_i$  such that  $\tau_j(e_0) = \sigma_j(e_0)$  and  $\tau_j(e_1) = x$ . We have

$$\partial\left(\sum_{j \in J} n_j \tau_j\right) = \sum_{j \in J} n_j \sigma_j - \left(\sum_{j \in J} n_j\right) \phi = \sum_{j \in J} n_j \sigma_j \quad \text{with} \quad \phi : [e_0] \rightarrow X_i, e_0 \mapsto x.$$

Hence  $\ker h \subseteq \{\sigma \in C_0(X_i) \mid \exists \tau \in C_1(X_i), \partial(\tau) = \sigma\} = B_0(X_i)$ .

We deduce that  $B_0(X_i) = \ker h$ . Therefore

$$H_0(X_i) = Z_0(X_i)/B_0(X_i) = C_0(X_i)/\ker h \cong h(C_0(X_i)) = \mathbb{Z}.$$

Finally, we get  $H_0(X) \cong \overbrace{\cdots \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z} \oplus \cdots}^{\#I \text{ times}}$  by Proposition 10.8. □

## 10.2 Homotopy Invariance

**Definition 10.10.** Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. The **homomorphism induced on singular  $n$ -chains** by  $f$  is

$$f_{\#} : C_n(X) \rightarrow C_n(Y), \quad \sum_{a \in A} n_a \sigma_a \mapsto \sum_{a \in A} n_a f \circ \sigma_a.$$

**Lemma 10.11.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. The following diagram is commutative:*

$$\begin{array}{ccccccc} \cdots & \xrightarrow{\partial} & C_{n+1}(X) & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) & \xrightarrow{\partial} & \cdots \\ & & \downarrow f_{\#} & & \downarrow f_{\#} & & \downarrow f_{\#} & & \\ \cdots & \xrightarrow{\partial} & C_{n+1}(Y) & \xrightarrow{\partial} & C_n(Y) & \xrightarrow{\partial} & C_{n-1}(Y) & \xrightarrow{\partial} & \cdots \end{array}$$

*Proof.* Let  $\sigma \in C_n(X)$ . We have

$$\begin{aligned} f_{\#} \circ \partial(\sigma) &= f_{\#} \left( \sum_{i=0}^n (-1)^i \sigma|_{[e_0, e_1, \dots, \hat{e}_i, \dots, e_n]} \right) \\ &= \sum_{i=0}^n (-1)^i f_{\#} \circ \sigma|_{[e_0, e_1, \dots, \hat{e}_i, \dots, e_n]} \\ &= \partial(f_{\#} \circ \sigma). \end{aligned}$$

□

**Proposition 10.12.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. Then,  $f_{\#}$  induces a homomorphism*

$$f_{\star} : H_n(X) \rightarrow H_n(Y), \quad \sigma + B_n(X) \mapsto f_{\#}(\sigma) + B_n(Y).$$

*Proof.* Using Lemma 10.11:

- If  $\sigma \in Z_n(X)$ , then  $\partial(f_{\#}(\sigma)) = f_{\#}(\partial(\sigma)) = f_{\#}(0) = 0$ , so  $f_{\#}(Z_n(X)) \subseteq Z_n(Y)$ ,
- if  $\sigma \in C_{n+1}(X)$ , then  $f_{\#}(\partial(\sigma)) = \partial(f_{\#}(\sigma))$ , so  $f_{\#}(B_n(X)) \subseteq B_n(Y)$ .

Hence, for every  $\sigma + B_n(X) \in H_n(X)$ ,  $f_{\star}(\sigma + B_n(X)) = f_{\#}(\sigma) + B_n(Y) \in H_n(Y)$  is well-defined. And  $f_{\star}(\sigma + \tau + B_n(X)) = f_{\#}(\sigma + \tau) + B_n(Y) = f_{\#}(\sigma) + f_{\#}(\tau) + B_n(Y) = f_{\star}(\sigma + B_n(X)) + f_{\star}(\tau + B_n(X))$ .  $\square$

**Definition 10.13.** Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. The **homomorphism induced on homology groups** by  $f$  is

$$f_{\star} : H_n(X) \rightarrow H_n(Y), \quad \sigma + B_n(X) \mapsto f_{\#}(\sigma) + B_n(Y).$$

**Proposition 10.14.** *Let  $X, Y, Z$  be topological spaces, and  $f : X \rightarrow Y$ ,  $g : Y \rightarrow Z$  continuous functions. In particular, let  $i_X : X \rightarrow X$  and  $i : H_n(X) \rightarrow H_n(X)$  be the identity maps of  $X$  and  $H_n(X)$  respectively. Then,*

$$(i) \quad (g \circ f)_{\star} = g_{\star} \circ f_{\star},$$

$$(ii) \quad (i_X)_{\star} = i.$$

*Proof.* (i) : If  $\sum_{a \in A} n_a \sigma_a \in C_n(X)$ , we have

$$g_{\#} \circ f_{\#} \left( \sum_{a \in A} n_a \sigma_a \right) = g_{\#} \left( \sum_{a \in A} n_a f \circ \sigma_a \right) = \sum_{a \in A} n_a g \circ f \circ \sigma_a = (g \circ f)_{\#} \left( \sum_{a \in A} n_a \sigma_a \right).$$

Hence, if  $\sigma + B_n(X) \in H_n(X)$ ,

$$\begin{aligned} g_{\star} \circ f_{\star}(\sigma + B_n(X)) &= g_{\star}(f_{\#}(\sigma) + B_n(Y)) \\ &= g_{\#} \circ f_{\#}(\sigma) + B_n(Z) \\ &= (g \circ f)_{\#}(\sigma) + B_n(Z) \\ &= (g \circ f)_{\star}(\sigma + B_n(X)). \end{aligned}$$

(ii) : For  $\sigma + B_n(X) \in H_n(X)$ ,  $(i_X)_{\star}(\sigma + B_n(X)) = (i_X)_{\#}(\sigma) + B_n(X) = \sigma + B_n(X)$ .  $\square$

For a nonnegative integer  $n$ , set  $\Delta^n \times \{0\} := [e_0^0, e_1^0, \dots, e_n^0]$  and  $\Delta^n \times \{1\} := [e_0^1, e_1^1, \dots, e_n^1]$  such that  $e_i^0$  and  $e_i^1$  have the same image  $e_i$  under the projection  $\Delta^n \times \{0, 1\} \rightarrow \Delta^n$ , where  $i \in \{0, 1, \dots, n\}$ .

**Proposition 10.15.** *Let  $n$  be a nonnegative integer. Then*

$$\Delta^n \times [0, 1] = \bigcup_{i=0}^n [e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1].$$

*Proof.* Let  $u = \sum_{j=0}^i t_j^0 e_j^0 + \sum_{j=i}^n t_j^1 e_j^1 \in [e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1]$ . If  $u = (\lambda_0, \lambda_1, \dots, \lambda_{n+1})$ , then

$$\sum_{k=0}^n \lambda_k = \sum_{j=0}^i t_j^0 + \sum_{j=i}^n t_j^1 = 1 \quad \text{and} \quad \lambda_{n+1} = \sum_{j=i}^n t_j^1 \in [0, 1].$$

Hence  $[e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1] \subseteq \Delta^n \times [0, 1]$ .

Now, take  $(\lambda_0, \lambda_1, \dots, \lambda_{n+1}) \in \Delta^n \times [0, 1]$ . Let  $i = \max \left\{ j \in \{0, 1, \dots, n\} \mid \sum_{j=i}^n \lambda_j \geq \lambda_{n+1} \right\}$ . Then,

$$(\lambda_0, \lambda_1, \dots, \lambda_{n+1}) = \sum_{j=0}^{i-1} \lambda_j e_j^0 + \left( \lambda_i - \lambda_{n+1} + \sum_{j=i}^n \lambda_j \right) e_i^0 + \left( \lambda_{n+1} - \sum_{j=i}^n \lambda_j \right) e_i^1 + \sum_{j=i+1}^n \lambda_j e_j^1$$

which belongs to  $[e_0^0, \dots, e_i^0, e_i^1, \dots, e_n^1]$ . Hence  $\Delta^n \times [0, 1] \subseteq \bigcup_{i=0}^n [e_0^0, \dots, e_i^0, e_i^1, \dots, e_n^1]$ .  $\square$

**Definition 10.16.** Let  $X, Y$  be topological spaces,  $id : [0, 1] \rightarrow [0, 1]$  the identity map, and  $F : X \times [0, 1] \rightarrow Y$  a continuous function. The composition  $F \circ (\sigma \times id) : \Delta^n \times [0, 1] \rightarrow X \times [0, 1] \rightarrow Y$  is well-defined and the **prism operator** of  $F$  is the function

$$P : C_n(X) \rightarrow C_{n+1}(Y), \quad \sigma \mapsto \sum_{i=0}^n (-1)^i F \circ (\sigma \times id) | [e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1].$$

**Proposition 10.17.** Let  $X, Y$  be topological spaces,  $f : X \rightarrow Y$ ,  $g : X \rightarrow Y$  continuous functions, and  $F : X \times [0, 1] \rightarrow Y$  a homotopy between  $f$  and  $g$ . Then,

$$\partial \circ P = g_{\#} - f_{\#} - P \circ \partial.$$

*Proof.* Denote

$$F_{i,j}^0 = F \circ (\sigma \times id) | [e_0^0, \dots, \widehat{e_j^0}, \dots, e_i^0, e_i^1, \dots, e_n^1] \quad \text{and} \quad F_{i,j}^1 = F \circ (\sigma \times id) | [e_0^0, \dots, e_i^0, e_i^1, \dots, \widehat{e_j^1}, \dots, e_n^1].$$

We have

$$\begin{aligned} \partial \circ P(\sigma) &= \partial \left( \sum_{i=0}^n (-1)^i F \circ (\sigma \times id) | [e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1] \right) \\ &= \sum_{i=0}^n (-1)^i \partial \left( F \circ (\sigma \times id) | [e_0^0, \dots, e_{i-1}^0, e_i^0, e_i^1, e_{i+1}^1, \dots, e_n^1] \right) \\ &= \sum_{i=0}^n (-1)^i \left( \sum_{j=0}^i (-1)^j F_{i,j}^0 + \sum_{j=i}^n (-1)^{j+1} F_{i,j}^1 \right) \\ &= \sum_{i=0}^n \sum_{j=0}^i (-1)^{i+j} F_{i,j}^0 + \sum_{i=0}^n \sum_{j=i}^n (-1)^{i+j+1} F_{i,j}^1 \end{aligned}$$

Remark that  $[e_0^0, \dots, e_i^0, \widehat{e_i^1}, e_{i+1}^1, \dots, e_n^1] = [e_0^0, \dots, e_i^0, \widehat{e_{i+1}^0}, e_{i+1}^1, \dots, e_n^1]$ , which implies  $F_{i,i}^1 = F_{i+1,i+1}^0$ . Hence

$$\partial \circ P(\sigma) = F_{0,0}^0 + \sum_{i=0}^n \sum_{j=0}^{i-1} (-1)^{i+j} F_{i,j}^0 + \sum_{i=0}^n \sum_{j=i+1}^n (-1)^{i+j+1} F_{i,j}^1 - F_{n,n}^1.$$



Note that  $F_{0,0}^0 = F \circ (\sigma \times id) | [e_0^0, e_0^1, e_1^1, \dots, e_n^1] = g_{\sharp}$  and  $F_{n,n}^1 = F \circ (\sigma \times i) | [e_0^0, \dots, e_{n-1}^0, e_n^0, \widehat{e}_n^1] = f_{\sharp}$ . Moreover,

$$\begin{aligned} P \circ \partial(\sigma) &= P \left( \sum_{i=0}^n (-1)^i \sigma | [e_0, \dots, \widehat{e}_i, \dots, e_n] \right) \\ &= \sum_{i=0}^n (-1)^i \sum_{j=i+1}^n (-1)^j F_{i,j}^1 + \sum_{i=0}^n (-1)^{i-1} \sum_{j=0}^{i-1} (-1)^j F_{i,j}^0 \\ &= \sum_{i=0}^n \sum_{j=0}^{i-1} (-1)^{i+j-1} F_{i,j}^0 + \sum_{i=0}^n \sum_{j=i+1}^n (-1)^{i+j} F_{i,j}^1. \end{aligned}$$

Therefore  $\partial \circ P = g_{\sharp} - P \circ \partial - f_{\sharp}$ .  $\square$

**Theorem 10.18.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y, g : X \rightarrow Y$  continuous functions. If  $f$  and  $g$  are homotopic, then  $f_{\star} = g_{\star}$ .*

*Proof.* Let  $P$  be the prism operator of a homotopy between  $f$  and  $g$ . If  $\sigma \in Z_n(X)$ , we then know from Proposition 10.17 that  $g_{\sharp}(\sigma) - f_{\sharp}(\sigma) = \partial \circ P(\sigma) + P \circ \partial(\sigma) = \partial \circ P(\sigma)$ , since  $\partial(\sigma) = 0$ . Thus  $g_{\sharp}(\sigma) - f_{\sharp}(\sigma) \in B_n(Y)$ , meaning that  $g_{\sharp}(\sigma) + B_n(Y) = f_{\sharp}(\sigma) + B_n(Y)$ . So, for all  $\sigma + B_n(X) \in H_n(X)$ ,

$$g_{\star}(\sigma + B_n(X)) = g_{\sharp}(\sigma) + B_n(Y) = f_{\sharp}(\sigma) + B_n(Y) = f_{\star}(\sigma + B_n(X)).$$

$\square$

**Corollary 10.19.** *Let  $X, Y$  be topological spaces, and  $f : X \rightarrow Y$  a continuous function. If  $f$  is homotopy equivalent some function, then  $f_{\star} : H_n(X) \rightarrow H_n(Y)$  is an isomorphism.*

*Proof.* Let  $g : Y \rightarrow X$  be a function homotopy equivalent to  $f$ . Moreover, let  $i_X, i_Y, i_{H_n(X)}, i_{H_n(Y)}$  be the identity maps of  $X, Y, H_n(X), H_n(Y)$  respectively. Using Proposition 10.14 and Theorem 10.18, we get

- $g_{\star} \circ f_{\star} = (g \circ f)_{\star} = (i_X)_{\star} = i_{H_n(X)}$ ,
- $f_{\star} \circ g_{\star} = (f \circ g)_{\star} = (i_Y)_{\star} = i_{H_n(Y)}$ .

Hence,  $g_{\star} = f_{\star}^{-1}$ , which implies that  $f_{\star}$  is an isomorphism.  $\square$

*Example.* If  $X$  is a convex set in  $\mathbb{R}^n$ , then  $H_0(X) \cong \mathbb{Z}$ , and  $H_n(X) = 0$  for  $n \in \mathbb{N}$ . Indeed, if  $a \in X$ , the function

$$F : X \times [0, 1] \rightarrow X, \quad (x, t) \mapsto ta + (1-t)x$$

is a deformation retraction of  $X$  onto  $\{a\}$ . Consider both functions

$$f : X \rightarrow \{a\}, \quad x \mapsto a \quad \text{and} \quad g : \{a\} \rightarrow X, \quad x \rightarrow x.$$

Denoting  $i_X, i_{\{a\}}$  the identity maps of  $X$  and  $\{a\}$  respectively, we see that

- $g \circ f = f$  which is homotopic to  $i_X$  by the deformation retraction  $F$ ,
- $f \circ g = i_{\{a\}}$ .

Hence,  $f$  and  $g$  are homotopy equivalent. We deduce from Corollary 10.19 that  $f_{\star} : H_n(X) \rightarrow H_n(\{a\})$  is an isomorphism.

### 10.3 Relative Homology Groups

**Definition 10.20.** Let  $X$  be a topological space, and  $A \subseteq X$ . The free abelian subgroup  $C_n(A)$  is

$$C_n(A) := \left\{ \sum_{i \in I} n_i \sigma_i \in C_n(X) \mid \sigma_i(\Delta^n) \subseteq A \right\}.$$

The **relative  $n$ -chains** are the elements of the quotient group  $C_n(X, A) := C_n(X)/C_n(A)$ .

**Lemma 10.21.** Let  $X$  be a topological space, and  $A \subseteq X$ . The boundary operator  $\partial : C_n(X) \rightarrow C_{n-1}(X)$  induces the quotient boundary operator

$$\dot{\partial} : C_n(X, A) \rightarrow C_{n-1}(X, A), \quad \sigma + C_n(A) \mapsto \dot{\partial}(\sigma) + C_{n-1}(A).$$

*Proof.* Let  $\tau = \sum_{i \in I} n_i \tau_i \in C_n(A)$  and  $j \in \{0, 1, \dots, n\}$ . Since  $\tau_i[[e_0, e_1, \dots, \hat{e}_j, \dots, e_n]](\Delta^{n-1}) \subseteq A$ , then  $\partial(\tau) \in C_{n-1}(A)$ . Hence  $\partial(C_n(A)) \subseteq C_{n-1}(A)$ , and  $\dot{\partial} : C_n(X, A) \rightarrow C_{n-1}(X, A)$  is well-defined.  $\square$

**Definition 10.22.** Let  $X$  be a topological space, and  $A \subseteq X$ . The **relative complex**  $C_\bullet(X, A)$  of  $X$  relative to  $A$  is

$$\cdots \xrightarrow{\dot{\partial}} C_{n+1}(X, A) \xrightarrow{\dot{\partial}} C_n(X, A) \xrightarrow{\dot{\partial}} C_{n-1}(X, A) \xrightarrow{\dot{\partial}} \cdots \xrightarrow{\dot{\partial}} C_1(X, A) \xrightarrow{\dot{\partial}} C_0(X, A) \xrightarrow{\dot{\partial}} 0.$$

The group of **relative  $n$ -cycles** of  $X$  relative to  $A$  is

$$Z_n(X, A) := \{ \sigma + C_n(A) \in C_n(X, A) \mid \partial(\sigma) \in C_{n-1}(A) \}.$$

The group of **relative  $n$ -boundaries** of  $X$  relative to  $A$  is

$$B_n(X, A) := \{ \sigma + C_n(A) \in C_n(X, A) \mid \exists \tau \in C_{n+1}(X), \nu \in C_n(A), \partial(\tau) = \sigma + \nu \}.$$

The quotient group

$$H_n(X, A) = Z_n(X, A) / B_n(X, A)$$

is the  $n^{\text{th}}$  **relative homology group** of  $X$  relative to  $A$ .

Denote  $f : (X, A) \rightarrow (Y, B)$  a function  $f : X \rightarrow Y$  such that  $A \subseteq X$ ,  $B \subseteq Y$ , and  $f(A) \subseteq B$ .

**Lemma 10.23.** Let  $X, Y$  be topological spaces,  $A \subseteq X$ ,  $B \subseteq Y$ , and  $f : (X, A) \rightarrow (Y, B)$  a continuous function. The homomorphism  $f_\# : C_n(X) \rightarrow C_n(Y)$  induces the homomorphism on relative  $n$ -chains

$$\dot{f}_\# : C_n(X, A) \rightarrow C_n(Y, B), \quad \sigma + C_n(A) \mapsto \dot{f}_\#(\sigma) + C_n(B).$$

*Proof.* If  $\sum_{i \in I} n_i \sigma_i \in C_n(A)$ , then  $\dot{f}_\# \left( \sum_{i \in I} n_i \sigma_i \right) = \sum_{i \in I} n_i f \circ \sigma_i \in C_n(B)$ . Hence  $\dot{f}_\#(C_n(A)) \subseteq C_n(B)$ , and  $\dot{f}_\# : C_n(X, A) \rightarrow C_n(Y, B)$  is well-defined.  $\square$

**Lemma 10.24.** Let  $X, Y$  be topological spaces,  $A \subseteq X$ ,  $B \subseteq Y$ , and  $f : (X, A) \rightarrow (Y, B)$  a continuous function. The homomorphism  $f_\star : H_n(X) \rightarrow H_n(Y)$  induces the homomorphism on relative homology groups

$$\dot{f}_\star : H_n(X, A) \rightarrow H_n(Y, B), \quad \sigma + B_n(X, A) \mapsto \dot{f}_\#(\sigma) + B_n(Y, B).$$

*Proof.* We have:

- If  $\sigma + C_n(A) \in Z_n(X, A)$ , then

$$\partial \left( f_{\#}(\sigma + C_n(A)) \right) = \partial(f_{\#}(\sigma) + C_n(B)) = \partial(f_{\#}(\sigma)) + \partial(C_n(B)) = f_{\#}(\partial(\sigma)) + \partial(C_n(B)).$$

Since  $\partial(\sigma) \in C_{n-1}(A)$ , then  $f_{\#}(\partial(\sigma)) + \partial(C_n(B)) \subseteq C_{n-1}(B)$ , so  $f_{\#}(Z_n(X, A)) \subseteq Z_n(Y, B)$ .

- If  $\sigma + C_{n+1}(A) \in C_{n+1}(X, A)$ , then

$$f_{\#} \left( \partial(\sigma + C_{n+1}(A)) \right) = \partial \left( f_{\#}(\sigma + C_{n+1}(A)) \right) = \partial(f_{\#}(\sigma) + C_{n+1}(B)),$$

hence  $f_{\#}(B_n(X, A)) \subseteq B_n(Y, B)$ .

Like in Proposition 10.12, we deduce that  $f_{\#}$  induces a homomorphism  $f_{\star} : H_n(X, A) \rightarrow H_n(Y, B)$ .  $\square$

**Proposition 10.25.** *Let  $X, Y$  be topological spaces,  $A \subseteq X$ ,  $B \subseteq Y$ , and  $f : (X, A) \rightarrow (Y, B)$ ,  $g : (X, A) \rightarrow (Y, B)$  continuous functions. Suppose that there exists a homotopy  $F : X \times [0, 1] \rightarrow Y$  between  $f$  and  $g$  such that*

$$\forall t \in [0, 1], F(A, t) \subseteq B.$$

Then  $\dot{f}_{\star} : H_n(X, A) \rightarrow H_n(Y, B) = \dot{g}_{\star} : H_n(X, A) \rightarrow H_n(Y, B)$ .

*Proof.* If  $\sigma \in C_n(X)$  such that  $\sigma(\Delta^n) \subseteq A$ , we get the composition  $F \circ (\sigma \times id) : \Delta^n \times [0, 1] \rightarrow A \times [0, 1] \rightarrow B$ . The prism operator  $P$  of  $F$  then takes  $C_n(A)$  to  $C_{n+1}(B)$ . Hence, it induces a relative prism operator

$$\dot{P} : C_n(X, A) \rightarrow C_{n+1}(Y, B), \quad \sigma + C_n(A) \mapsto P(\sigma) + C_{n+1}(B).$$

Besides, for every  $\sigma + C_n(A) \in C_n(X, A)$ ,  $\dot{\partial} \circ \dot{P}(\sigma + C_n(A)) = \dot{\partial}(P(\sigma) + C_{n+1}(B)) = \partial \circ P(\sigma) + C_n(B)$  and  $\dot{P} \circ \dot{\partial}(\sigma + C_n(A)) = \dot{P}(\partial(\sigma) + C_{n-1}(A)) = P \circ \partial(\sigma) + C_n(B)$ . So, by Proposition 10.17,

$$\begin{aligned} \dot{\partial} \circ \dot{P}(\sigma + C_n(A)) + \dot{P} \circ \dot{\partial}(\sigma + C_n(A)) &= \partial \circ P(\sigma) + P \circ \partial(\sigma) + C_n(B) \\ &= g_{\#}(\sigma) - f_{\#}(\sigma) + C_n(B) \\ &= \dot{g}_{\#}(\sigma + C_n(A)) - \dot{f}_{\#}(\sigma + C_n(A)). \end{aligned}$$

If  $\sigma + C_n(A) \in Z_n(X, A)$ , since  $\dot{\partial}(\sigma + C_n(A)) = C_{n-1}(A)$ , then

$$\dot{g}_{\#}(\sigma + C_n(A)) - \dot{f}_{\#}(\sigma + C_n(A)) = \dot{\partial} \circ \dot{P}(\sigma + C_n(A)).$$

Thus  $\dot{g}_{\#}(\sigma + C_n(A)) - \dot{f}_{\#}(\sigma + C_n(A)) \in B_n(Y, B)$ , meaning that  $g_{\#}(\sigma) + B_n(Y, B) = f_{\#}(\sigma) + B_n(Y, B)$ . So, for all  $\sigma + B_n(X, A) \in H_n(X, A)$ ,

$$\dot{g}_{\star}(\sigma + B_n(X, A)) = g_{\#}(\sigma) + B_n(Y, B) = f_{\#}(\sigma) + B_n(Y, B) = \dot{f}_{\star}(\sigma + B_n(X, A)).$$

$\square$



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